Experience of industry case studies: a comparison of multi-case and embedded case study methods
Bass, J, Beecham, S and Noll, J

http://dx.doi.org/10.1145/3193965.3193967

<table>
<thead>
<tr>
<th>Title</th>
<th>Experience of industry case studies: a comparison of multi-case and embedded case study methods</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authors</td>
<td>Bass, J, Beecham, S and Noll, J</td>
</tr>
<tr>
<td>Type</td>
<td>Conference or Workshop Item</td>
</tr>
<tr>
<td>URL</td>
<td>This version is available at: <a href="http://usir.salford.ac.uk/46284/">http://usir.salford.ac.uk/46284/</a></td>
</tr>
<tr>
<td>Published Date</td>
<td>2018</td>
</tr>
</tbody>
</table>

USIR is a digital collection of the research output of the University of Salford. Where copyright permits, full text material held in the repository is made freely available online and can be read, downloaded and copied for non-commercial private study or research purposes. Please check the manuscript for any further copyright restrictions.

For more information, including our policy and submission procedure, please contact the Repository Team at: usir@salford.ac.uk.
Experience of Industry Case Studies: A Comparison of Multi-Case and Embedded Case Study Methods

Julian M. Bass  
University of Salford  
j.bass@salford.ac.uk

Sarah Beecham  
Lero, the Irish Software Research Centre,  
University of Limerick, Ireland  
sarah.beecham@lero.ie

John Noll  
University of East London  
j.noll@uel.ac.uk

ABSTRACT
This research comprises a methodological comparison of two independent empirical case studies in industry: Case Study A and Case Study B. Case Study A, is a multiple-case study involving a set of short-duration data collections with 46 practitioners at 9 international companies engaged in off-shoring and outsourcing. Case Study B, in contrast, is a single case, participant observation embedded case study lasting 13 months in a mid-sized Irish software company with geographically distributed software teams. Both cases were exploring similar problems of understanding the activities performed by various actors involved in scrum software development teams. In this study, we examine the findings from both studies, the efficiency of the different case study methods and the contributions offered by each approach. We adopted naturalistic research criteria to evaluate the two case study approaches. We found that both multiple-case and embedded case studies are suitable for exploratory research (hypothesis development) but that embedded research may also be more suitable for explanatory research (hypothesis testing). We also found that longitudinal case studies offer better confirmability; while multi-case studies offer better transferability. We propose a set of illustrative research questions to assist with the selection of the appropriate case study method.

CCS CONCEPTS
• Software and its engineering → Software creation and management: Software development process management; Collaboration in software development;

KEYWORDS
Case Study Methods, Empirical Studies in Industry, Multi-case Study, Embedded Case Study, Cross-case Analysis

ACM Reference Format:

1 INTRODUCTION
Case study research is one of several methodologies employed for empirical studies of software engineering in industry. According to Yin, a case study is “an empirical inquiry that investigates a contemporary phenomenon within its real-life context, especially when the boundaries between phenomenon and context are not clearly evident [36, p. 18].” Case studies can generate new hypotheses, and test existing hypotheses [16]. Case studies “provide a systematic way of looking at events, collecting data, analysing information, and reporting the results. As a result, case study researchers may gain a greater understanding of why something happened as it did, and what might be important to investigate in future research [35].”

Under the broad heading of case study research are different approaches to conducting case studies, including holistic that focuses on an organization as a whole, and embedded, which examines different “units of analysis” within the broader organizational context, possibly from different perspectives or using different techniques [32, 36]. Case studies can also vary by size along different dimensions, such as single vs. multiple cases, or longitudinal studies that examine a phenomenon over an extended period of time [30].

Each methodology has strengths and weaknesses. This research investigates similarities and differences between embedded case studies (longitudinal research conducted within a single organisation) and multi-case studies (conducted across multiple organisations). We want to identify criteria for selecting deep embedded approaches or broad cross-company studies. While methods are sometimes dictated by circumstances (such as an opportunity to collaborate with a partner company); we want to understand more clearly the strengths and weaknesses of each approach.

This leads us to identify the following research question: “What are the similarities and differences between embedded case study and multi-case study approaches in industry?” Further we can identify two subsidiary research questions: “What are the benefits and challenges of embedded and multi-case study approaches?” and “Under what circumstances should researchers select embedded and multi-case studies?”
To answer these research questions, we undertake a cross-case analysis of two previously published embedded and multi-case studies performed by the authors.

This paper is structured as follows: The next section presents previous research on empirical software engineering research methods, followed by a description of our research methods in Section 3. In Section 4, we describe our empirical findings, which is followed by a discussion in Section 5. Finally, we provide conclusions and possible future directions in Section 6.

2 EMPIRICAL STUDIES IN INDUSTRY

A range of methods for conducting research have been identified, including: experiments, surveys, archival analysis and history [36]. Experiments rely on manipulating variables in order to establish causal relationships. Surveys seek to observe the size and direction of relationships between variables [9]. Archival analysis studies provide secondary documentary evidence, rather than primary sources [20]. Historical research does not directly address contemporary issues.

These research methods can be employed to fulfil several purposes: exploratory, descriptive, explanatory or improving [29]. Exploratory research seeks new insights, generates new hypotheses and ideas. Descriptive research articulates the current status of some phenomenon. Explanatory research usually seeks to identify causal factors to explain a situation. Improving research tries to refine or optimise some aspect of the studied phenomenon.

The purpose of a survey is to produce quantitative or numerical descriptions of some aspects of the study population [21]. Information is usually collected by asking questions with responses comprising the data to be analysed. Survey information is usually collected from only a fraction of the population, that is a sample, rather than from every member of the population. Surveys have been criticised for being prone to sampling bias and limited geographical scope [34].

Experimental approaches have long been advocated in computer science [37]. Experiments and quasi-experiments deliberately introduce some intervention in order to observe its effect [9]. In its pure form, the application of interventions are randomised in experiments. However, in quasi-experiments, treatments are not assigned randomly.

Qualitative research methods emphasize words, sounds and images rather than numbers and quantitative measurement in data collection and analysis [26]. In the context of software engineering, there can appear to be a disconnect between research conducted and industry needs [5, 7]. Software engineering research is complex due the intersection of issues around human behaviour during software development as well as human and computer capabilities [33]. Given the complexity and variability of industry settings some researchers call for more 'context specific' research that speaks directly to industry needs and does not set out to generalise results [7].

2.1 Case Studies in Industry

Empirical studies in field settings are conducted in order to explore software development processes and practices as perceived and understood by those involved in performing such work [23]. Hence, the focus of this research is on the case study method, which has been defined as:

an empirical inquiry that investigates a contemporary phenomenon within its real-life context, especially when the boundaries between phenomenon and context are not clearly evident [36].

In order to develop a triangulated and in-depth understanding in a contextual research setting, case studies often involve multiple data sources, including observation, interviews, documents and archival records [14].

Case studies tend to be either explanatory or exploratory in nature. Explanatory case studies often address “how” and “why” research questions [36]. Exploratory case studies, in contrast, are often used to conduct an initial exploration intended to generate new insights or propositions [14]. It has been suggested that case studies in software engineering are exploratory because there is still a need to gather “basic knowledge about the human factors surrounding software development and maintenance” [23, pg. 314].

Single case studies are typically used to inform on typical experiences and provide a holistic, in-depth analysis of one setting, characterized by production of the rich and detailed descriptions [36]. A multiple case study design, in contrast, may potentially sacrifice some level of descriptive richness in each case, in order to make comparisons across several settings [13]. It is argued that multiple case designs are needed for creating a generalisable theory under the replication logic of positivist case research [15].

2.2 Case Study Trustworthiness

There is controversy about validity and reliability criteria for research conducted in real-world settings [29]. On the one hand research in industrial settings should aspire to the same standards of rigour as experimental and quasi-experimental methods. On the other hand, it is not typically possible to manipulate independent variables in order to observe the impact on dependent variables in industrial contexts.

Conventionally there are four general criteria for determining research quality [24, Chapter 11]:

- Truthfulness: do participants recognise the truth of the study findings,
- Applicability: to what extent are the findings applicable in other contexts,
- Consistency: are the study findings repeatable with similar participants in a similar context, and
- Neutrality: to what extent are the findings free from researcher bias.

These general criteria have evolved into four specific criteria for experimental research: ‘internal validity’, ‘external
validity’, ‘reliability’ and ‘objectivity.’ Internal validity addresses the causal relationships between experimental variables. External validity refers to the ability to generalise a causal relationship between variables. Reliability applies to the predictability, stability and accuracy of the study findings. While, objectivity is usually set against subjectivity, where the concerns of individual participants dominate some sense of collective judgement.

In contrast, and following the approach of [24, Chapter 11], in this study, we adopt four criteria for exploring the trustworthiness of naturalistic research findings:

- Credibility,
- Transferability,
- Dependability, and
- Confirmability.

These criteria attempt to address questions of validity and reliability in real-world research where experimental variables are not easily manipulated to establish causal relationships [29].

2.2.1 Credibility. The first research quality criterion to be assessed relates to the “truthfulness” of the research. A positivist paradigm recognises a single external reality which researchers seek to uncover. However, naturalistic researchers like [24] consider the realities created by groups, communities and teams of people to be socially constructed. Hence, they argue [24, Chapter 11] “truthfulness” is achieved when the research is carried out in such a way that the findings are found “credible” by researchers and study participants.

2.2.2 Transferability. The second criterion addresses the applicability of research from one group of study participants to another. A positivist might assume that there is some universal truths, regardless of time and context, out there in nature waiting to be discovered. But, many recognise that a group of study participants work within, and a guided by, a particular set of circumstances. Hence, in order to understand the likely application of research from one study group to another, we need to understand the circumstances affecting that group. Transferability refers to the expectation that results from one context are applicable to another.

2.2.3 Dependability. The third criterion relates to the consistency or repeatability of the research. Conventional studies are considered reliable if replication confirms the results. But replication assumes that the precise circumstances faced by a particular group, community or team of people can be reproduced exactly.

2.2.4 Confirmability. Confirmable research is neutral or objective in terms of researcher interaction with the study context. An independent observer should expect to reach similar conclusions in confirmable research.

2.3 Summary
Leonard-Barton compares the single case with the multiple case study, asserting that the efficiency of a single-case, longitudinal study is low, with a danger of data overload, and a lot of unusable data. Objectivity is threatened by researchers becoming too deeply involved, and developing unconscious biases. Pattern recognition tends to be microscopic in terms of examination of highly context specific processes.

Whereas, there are some benefits to the multiple-site method, where efficiency is relatively high, with a focused data gathering effort, and pattern recognition is likely to be good in terms of revealing patterns in the process (regardless of context). However there is still a threat to objectivity, with a danger of unconsciously accepting respondent bias, since the researcher is more detached than in the single embedded longitudinal case study [22].

When it comes to establishing validity, again we see several benefits to the multiple case study, that has relatively high generalizability as it views a variety of situations, where we could not argue convincingly that observations made in any single case study are generalizable [22].

There are gains to be made for internal validity in the single case, with a good opportunity to establish cause and effect in a longitudinal study. This is clearly lower in the multiple case study, where confusion can exist in cause and effect across the many settings, that are only visited at one point in time [22].

When it comes to construct validity, both types of case have different strengths. In a single-case, longitudinal study, the sensitivity of construct measures (context and changes) can be taken into account over the passage of time. Whereas the multiple case study offers a different form of stability, where the construct can be assessed across situations [22].

Table 1 summarizes Leonard-Barton’s comparison, using the terminology of Section 2.2.
Similar to Leonard-Barton [22], we focus on research methodology rather than the research topic under investigation (Scrum activities performed). The motivation for conducting the study on scrum across various companies and contexts is secondary to the purpose of this research, which is to describe how the blending of two methodologies resulted in various synergies, and unforeseen benefits and pitfalls. [22]

3 METHODS

In order to discover the contrasting features of case studies methods, a mixed method approach has been adopted comprising two case studies and a cross-case analysis. The first phase (Case Study A) comprised a multiple case study of 46 practitioners from nine multinational companies; employing a Glaserian grounded theory analysis of documentary sources, practice observations and interview transcripts.

In addition to Case Study A, during our second phase, we conducted a 14 month longitudinal embedded case study (Case Study B) in a medium sized software development company.

Finally, we employed a cross-case analysis to triangulate our findings.

3.1 Case Study A

The main source of data in this case study was a series of semi-structured face-to-face research interviews conducted with practitioners supplemented by use of documentary evidence and workplace observation.

3.1.1 Research Sites. Research sites were selected from a set of multinational enterprises engaged in offshore or outsourced software development using a combination sampling approach comprising snowball and intensity sampling.

Snowball sampling used a network of former co-workers and other professional contacts to provide access to study participants, who then provided access to development teams in different companies [28, p. 237], [27, p. 37]. This initial, exploratory phase of the study, enabled data collection from a broad range of companies.

Intensity sampling was used to obtain a greater richness and depth in the study, by accessing a larger number of interview participants with different responsibilities in the same company or software development programme [28 pp. 234]. Intensity sampling enabled triangulated perspectives from developers, quality assurance testers (QAs), project managers, development programme managers and corporate-level executives

3.1.2 Data Collection. Case Study A made some use of secondary data in the form of corporate process guidelines, project and development programme documentation, and technical reports or white papers. Some of the participating companies also made commercially confidential details of corporate agile practices, roles, policies and recommendations. However, commercially confidential documentation was not obtained from all of the companies, due to its commercial sensitivity.

The main source of data in Case Study A were interviews conducted, between January 2010 and March 2014, using an open-ended semi-structured interview guide and were recorded and subsequently transcribed, as shown in Table 2.

The semi-structured interview guide approach was employed to allow some flexibility to adapt the interview questions to participants with different roles or responsibilities [8]. The open-ended approach employed probing questions to focus in more detail on issues raised by participants (and not included in the interview guide) during interviews.

3.1.3 Data Analysis. The data analysis approach employed in Case Study A involved open coding, constant comparison, memo writing and theoretical saturation advocated in a Glaserian grounded theory approach [17].

Open coding, in this research, involves assigning a short word or phrase to symbolically represent the broader meaning of an action or item in the data [31]. The data analysis software tool NVivo was used to record and formalise the coding process [25].

Constant comparison is used to compare incidents that apply to each category, integrate categories and their properties, delimit the theory, and write the grounded theory [18 pp.105]. Items in the data are compared within the same organisation or project team and with outside organisations and teams. During constant comparison analysis it is necessary to iterate back and forth between data collection and analysis.

Memo writing was used to clarify, refine and sharpen categories, which evolved as new transcript data is added [17 Chapter 12]. Each memo comprised a short, often informal, essay on each topic including selected quotations to provide illustrative primary evidence.

Theoretical saturation occurs as the study evolves and the richness and detail of the analysis is enhanced by the increasing number of study participants. Gradually, each new participant provides evidence that is consistent with
the categories already identified and has less impact on the categorisation.

### 3.2 Case Study B

Case Study B was a moderate participant-observer study focusing on a development team from a medium-sized Irish-based software company that develops practice and lab management software for the optical industry. PracMed employs approximately seventy staff members in its software development organization, including support and management staff. PracMed’s annual sales approach €20 million, from customers across the British Isles, continental Europe, Scandinavia, North America, and China.

Case Study B focused on TeamA, whose responsibility is to tailor the company’s product for a large customer in North America; the findings were validated by project management team members from PracMed’s development organization (see Table 3). The members of TeamA are distributed over four countries on two continents, with up to eight hours difference in timezones between locations. They are using Scrum to develop their software, with two weekly sprints.

<table>
<thead>
<tr>
<th>PracMed</th>
<th>Participant Role</th>
<th># participants</th>
</tr>
</thead>
<tbody>
<tr>
<td>Team A</td>
<td>Product Owner</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td>Software Developer</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>Quality Assurance</td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>Product Manager/Scrum</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>Master</td>
<td></td>
</tr>
</tbody>
</table>

3.2.1 Data Collection. The observations of TeamA in this study were performed from January, 2016 to March, 2017. Specifically, one of the authors observed approximately 200 of TeamA's Scrum ceremonies, including daily standups, sprint planning, backlog grooming, and sprint retrospectives. Due to team members being distributed across Europe and North America, the observations were made via video conference for each ceremony. The same author also conducted semi-structured interviews of each member of TeamA, which were recorded and transcribed. The interviews took approximately one hour and followed an interview protocol available from [6].

The observer also made contemporaneous hand-written notes during both the ceremony observations and interviews. Finally, the interviewer summarized the interviews using a mind-map, and presented the result to five interviewees in an online workshop to validate the insights gained from the interviews.

3.2.2 Data Analysis. Two researchers were involved in the data analysis, using a deductive approach. First, Researcher 1 extracted fragments of text from interview transcripts that related to the research question. Then, Researcher 2 reviewed these fragments, to identify any that seemed out of scope of the research question. This process was repeated to create a set of relevant text fragments.

Next, Researcher 1 defined a set of codes and coded the text fragments accordingly. Then, Researcher 2 independently coded the entire set of text fragments, using the same codes and associated definitions. The second researcher also noted when no codes seemed to match a fragment, and when a fragment appeared to be out of scope with the research question.

Finally, the two researchers discussed disagreements, resulting in some codes being removed or merged, and some new ones being introduced.

### 3.3 Cross Case Analysis

The case study approach is well established in software engineering [30]. A cross case (or cross site) analysis is used to explore similarities and differences between cases [27]. We can use multiple cases to establish the range of generality and conditions of applicability of each approach [18].

Some authors have focused on synthesis of data from multiple case studies [11, 12], three main approaches have been identified: narrative synthesis, thematic synthesis and the cross case analysis [10]. Narrative synthesis focuses on using words and textual data to “tell a story” based on the case study data. Thematic synthesis is a method for identifying, analysing and reporting patterns within case study data. Cross case analysis facilitates the comparison of commonalities and difference in the events, activities, and processes considered important for each case [19].

The approach we have employed for this research is a cross case analysis from a methodological perspective [27]. We have purposively selected the Case Study A and Case Study B in order to contrast features of the methodology.

### 4 RESULTS

Our main purpose here is not to present original findings from either case study itself, but rather to focus on the cross case analysis from a methodological perspective. However, establish credibility for the methods used, previously published results from each case study are summarised.

4.1 Case Study A

The multi-case study approach has been used to explore the activities performed by product owners on large-scale offshore software development programmes [1, 2]. That research found product owners organised into hierarchical teams. For the large scale teams in that study, governance and risk assessments were conducted in addition to more familiar agile product ownership activities.

The multi-case study approach has also been used to explore the artefacts produced by cooperating software teams on large-scale development programmes [3]. In addition to producing working software, teams also produced detailed release plans in order to manage dependencies between cooperating agile teams.
Table 4: Research Questions Used in Case Studies

<table>
<thead>
<tr>
<th>Research Question</th>
<th>Study</th>
</tr>
</thead>
<tbody>
<tr>
<td>“How do practitioners describe the tailoring of agile method roles and practices in large scale offshore enterprise software development programmes?”</td>
<td>[2]</td>
</tr>
<tr>
<td>“How do practitioners describe enhancement and expansion of functions within the product owner role, to meet the needs of large scale offshore enterprise software development programmes?”</td>
<td>[2]</td>
</tr>
<tr>
<td>“How do the artefacts map to software development processes used in large-scale offshore software development programmes?”</td>
<td>[3]</td>
</tr>
<tr>
<td>“How do these practitioner descriptions contribute to our understanding of artefacts in agile method tailoring in large-scale offshore software development programmes?”</td>
<td>[3]</td>
</tr>
<tr>
<td>“What activities do Product Owners perform according to the empirical literature?”</td>
<td>[4]</td>
</tr>
</tbody>
</table>

4.2 Case Study B

The embedded case study approach has been used to explore the activities of the Product Owner role in Scrum [4]. In particular, it was found that Product Owners perform a wide variety of activities, that can lead to stress and potential conflicts of interest, resulting in negative impact on team performance.

4.3 Cross Case Analysis

The research questions from the case studies we have investigated here are shown in Table 8.

The main features of the case studies we have investigated are summarised in Table 5. These features of each case study were selected to investigate each research question.

We found that embedded case studies offer the opportunity to observe events (such as agile ceremonies: stand-up, retrospectives, etc.) repeatedly during a study period. This allows researchers to assess the frequency of best practices and observe teams under the varying project conditions they face over time. However, in order to gain the benefit of witnessing events repeatedly in the study company, researchers must expend a considerable time period.

In contrast, the multi-case approach provides the opportunity to triangulate findings across companies. This creates the opportunity to broaden the researcher’s repertoire of observed events and practices. However, it remains a challenge to get access to companies, that tend to be secretive about their practices in order to protect commercial advantage. These opportunities and challenges are summarised in Table 6.

5 DISCUSSION

This research has investigated the question: “What are the similarities and differences between embedded case study and multi-case study approaches in industry?” We contrasted two case study approaches previously, and separately, conducted by the authors. In Case Study A a multi-case study approach was used to investigate practitioner perceptions of product ownership and development artefacts across nine international companies engaged in software development using agile methods.

Case Study B, in contrast, used an embedded case study approach to explore practitioner perceptions of activities within the scrum master role.

We follow Lincoln and Guba [24] and use quality indicators for empirical qualitative research: credibility, transferability, dependability and confirmability. We observe that Credibility is enhanced when research questions are appropriate for the method selected. Dependability is enhanced when data is collected with care and diligence as well as being subjected to rigorous analysis.

Drawing on the findings from our cross-case analysis, to answer our second research question “What are the benefits and challenges of embedded and multi-case study approaches?” we found that transferability is enhanced by using the multi-case approach whereas confirmability is enhanced by using the embedded case study approach, as shown in Table 7.

5.1 Implications for Theory

The case study method encapsulates a broad range of research styles and approaches. Case studies can range from interpretive, constructionist and ethnographic qualitative research to positivist experimental quantitative studies. Case studies in industry can be executed using a range of approaches.
This research draws methodological conclusions from two previously published empirical case studies, conducted by the authors, in industry settings. The cross case analysis used to identify similarities and differences between Case Study A and Case Study B. The cross case analysis contrasted the companies in Case Study A tended to be larger multi-national enterprises, while Case Study B focused on a single medium-sized Irish company.

5.2 Implications for Research Practice

Gaining access to perform studies in industry is, in our experience, challenging. Researchers are not always in a position to be selective about the type of access they have been granted. However, this research can inform the types of request for access researchers make. If developing a partnership with a specific company, then seeking longitudinal embedded access is desirable.

When an opportunity arises for access to industry, then researchers need to derive Research Questions that correspond to the type of access granted. Conversely, if negotiating industry access, ensure that the access requested corresponds to the planned research questions. While it has been argued that all software engineering case study research is exploratory [23, pg. 314], the enhanced confirmability of the embedded case study may make this technique suitable for explanatory research. The longitudinal nature of the embedded case study could offer an opportunity for hypothesis testing. The multi-case study approach, if combined with shorter durations in each setting, is confined to exploratory research.

To answer out third research question: “Under what circumstances should researchers select embedded and multi-case studies?” we can develop illustrative case study research questions, as shown in Table 8.

5.3 Limitations

This research draws methodological conclusions from two previously published empirical case studies, conducted by the authors, in industry settings. The cross case analysis is used to identify similarities and differences between the approaches taken in the case studies. The data collection in Case Study A was performed in UK and India; while for Case Study B, data collection was performed in Europe and North America. The companies in Case Study A tended to be larger multi-national enterprises, while Case Study B focused on a single medium-sized Irish company.

6 CONCLUSIONS

In this research we have compared two approaches to conducting case studies in industry: the participant observation-based embedded case study and a multi-case study involving practitioner interviews.

With access to sufficient resources, it would be attractive to undertake longitudinal studies across multiple cases. However, such an approach requires multiple researchers (embedded in multiple units of analysis) over a protracted period, requiring an abundance of resources not available in our experience.

Case Study A used a combination sampling approach comprising snowball and intensity sampling to investigate nine international companies engaged in geographically distributed software development predominantly using agile methods.

Case Study B, in contrast, was a participant observation embedded case study lasting 13 months in a mid-sized Irish company producing medical practice management software using a geographically distributed development team.

A methodological cross case analysis was employed to compare commonalities and differences between Case Study A and Case Study B. The cross case analysis contrasted features of the two case study approaches.

For the analysis in which we compared the two case study approaches, we selected four naturalistic qualitative research quality indicators: credibility, transferability, dependability and confirmability [24]. Using the findings from our cross-case analysis, we found that whereas the multiple-case approach enhances transferability, the longitudinal, embedded case study approach enhances confirmability. Conversely, ensuring transferability is a challenge for the longitudinal, embedded case study approach and confirmability is a challenge for the multi-case approach.

We found that the multiple-case and embedded case study methods are suitable for exploratory research; both can be used to develop new hypotheses regarding the phenomena under investigation. However, we argue that the longitudinal case study approach might also be suitable for explanatory research in which hypothesis testing is performed. However, research objectivity can be compromised by long-term interaction with study participants. This can be alleviated to a certain extent through the involvement of researchers (not directly involved in the data collection) testing the reliability of the findings.

Further, we have identified research questions particularly suited to each approach. Research questions targeting change and evolution of the phenomenon under investigation are suited to the longitudinal embedded case study approach.

<table>
<thead>
<tr>
<th>Table 7: Cross Case Analysis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Method</td>
</tr>
<tr>
<td>Embedded Case Study</td>
</tr>
<tr>
<td>Multi-case Study</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Table 8: Recommended Research Questions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Case Study</td>
</tr>
<tr>
<td>----------------</td>
</tr>
<tr>
<td>Embedded Case Study</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>Multi-case Study</td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>
Whereas research questions exploring variations of the phenomenon under investigation within similar contexts are better investigated by employing the multiple-case approach.

Future research will include extending the comparison of the two case study approaches to include the positivist criteria: internal validity, external validity, reliability and objectivity. We also intend to consider mixed method approaches, where the two case study types are supported with cross case analysis to offer methodological triangulation.

7 ACKNOWLEDGMENTS

The authors are grateful to participants in Case Study A. Thanks also go to IIIT-B and Company H who provided hospitality during several research visits. The research benefited in part from travel funding from the UK Deputy High Commission, Bangalore; Science and Innovation Network.

We also thank the members of TeamA and for their generous and thoughtful collaboration on this study, and PracMed, for allowing us to study their software development efforts. This work was supported, in part, by Science Foundation Ireland grants 10/CE/11855 and 13/RC/2094 to Lero - the Irish Software Research Centre (www.lero.ie).

REFERENCES


