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The association between suicidal behavior, attentional control, and frontal asymmetry

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8 **Keywords:** Suicide, Attentional Control, Inhibition, Frontal Asymmetry, Emotional Stroop,
9 Capability Model

10 Abstract

11 It can be difficult to identify those at risk of suicide because suicidal thoughts are often internalized
12 and not shared with others. Yet to prevent suicide attempts it is crucial to identify suicidal thoughts
13 and actions at an early stage. Past studies have suggested that deficits in attentional control are
14 associated with suicide, with the argument that individuals are unable to inhibit negative thoughts
15 and direct resources away from negative information. The current study aimed to investigate the
16 association of suicidal behavior with neurological and behavioral markers, measuring attentional bias
17 and inhibition in two Stroop tasks. Fifty-four participants responded to the color of color words in a
18 standard Stroop task and the color of positive, negative, and neutral words in an emotional Stroop
19 task. Electroencephalographic (EEG) activity was recorded from frontal areas during each task and at
20 resting. Participants were separated into a *low-risk* and *high-risk* group according to their self-
21 reported suicidal behavior. Participants in the high-risk group showed slower response times in the
22 color Stroop and reduced accuracy to incongruent trials, but faster response times in the emotional
23 Stroop task. Response times to the word “suicide” were significantly slower for the high-risk group.
24 This indicates an attentional bias towards specific negative stimuli and difficulties inhibiting
25 information for those with high levels of suicidal behavior. In the emotional Stroop task the high-risk
26 group showed reduced activity in leftward frontal areas, suggesting limitations in the ability to
27 regulate emotional processing via the left frontal regions. The findings support the argument that
28 deficits in attentional control are related to suicidal behavior. The research also suggests that under
29 certain conditions frontal asymmetry may be associated with suicidal behavior.

30 1 Introduction

31 Suicidal behavior refers to a wide range of suicide-related cognitions, emotions, and behaviors
32 (Silverman, 2006). It is a term that has been used to categorize behavior associated with ideas,
33 intentions, motivations, plans, and attempts for suicide. Prediction and prevention of suicide is
34 challenging because it is a personal and sensitive topic (Nock et al., 2010). Those who experience
35 suicidal behavior may avoid discussing this with others and sharing their thoughts can often trigger
36 feelings of stigmatization. This can also lead to difficulties in identifying those who are vulnerable to
37 suicide because assessments are largely based on clinical interviews and self-report measures

38 (Wilson, 2009). This means clinicians have to rely on an individual self-disclosing information
39 regarding their current suicidal thoughts and plans, and any history of past suicide attempts. Such
40 disclosure may be unreliable if a person is unwilling to report their intentions (Mann & Currier,
41 2007) and individuals may deliberately deny or conceal their suicide tendency to avoid intervention
42 or hospitalization (Nock et al., 2010). This highlights the importance of developing alternative
43 measures for identifying individuals with a suicide risk. One potential option would be to use
44 measures of cognitive and neurological processing (Mann et al., 2006).

45 Deficits in cognitive processing and neurological activity have been found in suicidal
46 individuals and these are specifically related to ‘executive function’ (Baddeley, 1992; Imbir &
47 Jarymowicz, 2013; Løvstad et al., 2016; Miller & Wallis, 2009). Executive function (also termed
48 cognitive control, e.g. Joormann & Tanovic, 2015) constitutes many components that allow an
49 individual to plan and execute goal-directed behavior including the ability to regulate emotions,
50 exert inhibitory control, shift focus between multiple tasks, and flexibly modify behavior according
51 to a situation (Burgess et al., 2000; Burton et al., 2011; Diamond, 2013; Doty, 2012). Deficits
52 therefore relate to impairment of a broad range of cognitive functions such as memory, attention, and
53 decision-making.

54 Miyake et al. (2000) identify three key components of executive function: shifting, updating,
55 and inhibition. Updating is the ability to maintain relevant information within working memory and
56 to update this information in accordance with changes in task demands. Shifting refers to directing
57 attentional resources away from task-irrelevant information and towards task-relevant information.
58 Inhibition is the ability to override an automatic but irrelevant response. Attentional control (the
59 ability to flexibly shift attentional resources in dynamic situations, maintain focus on relevant
60 information, and inhibit irrelevant information) is implicated in each of these components. Deficits in
61 attentional control are argued to be related to affective disorders as individuals have difficulties
62 shifting resources away from negative thoughts and re-directing attention towards more positive
63 information (Keilp et al., 2013). The importance of executive control in the development and
64 maintenance of affective disorders is outlined in an integrative cognitive-biological model of
65 depression that proposes two key components (Auerbach et al., 2013; Disner et al., 2011). Initially,
66 low-level bottom-up processing of negative information results in attentional biases. Second, deficits
67 in top-down control processes mean that an individual is unable to direct attention away from
68 negative information.

69 Executive dysfunction is argued to have a direct impact on emotional regulation as it prevents
70 individuals engaging in effective mood-regulation strategies and instead a person may utilize
71 maladaptive strategies that serve to sustain negative biases. For instance, Joormann and Tanovic
72 (2015) make the argument that individuals with major depressive disorder may have difficulties
73 changing the contents of working memory and moving the focus away from negative thoughts
74 (updating). Deficits in shifting have also been associated with increased rumination in depressed
75 individuals due to an inability to shift the focus of attention away from negative thoughts (e.g.
76 Demeyer et al., 2012). This increased focus on negative information serves to enhance and maintain
77 negative mood states (Gotlib & Joormann, 2010).

78 Whilst the theoretical explanations for the links between executive function and affective
79 disorders focus on depression, deficits in executive control have also been linked to the reduced
80 ability to deal with emotional disturbances that are commonly found in suicidal patients (Desmyter et
81 al., 2011; Jollant et al., 2011). For example, difficulties with response inhibition can make one more
82 likely to act impulsively, whilst impairments in interference control can prevent the inhibition of
83 irrelevant and intrusive thoughts, such as those relating to self-harm (Carter & van Veen, 2007;

84 Diamond, 2013). Richard-Devantoy and Courtet (2016) proposed that suicidal individuals with
85 impaired executive function are at a greater risk of attempting suicide due to their diminished ability
86 to engage in protective cognitive strategies. This is because they are less able to accurately assess the
87 consequences of their behavior, and less capable of inhibiting maladaptive emotional and behavioral
88 responses. They found that individuals who had attempted suicide showed deficits in decision-
89 making, problem solving, autobiographical long-term memory, and working memory. Loyo et al.
90 (2013) measured the links between executive functioning and suicidal behavior, taking measures of
91 attentional control, abstract reasoning ability, and decision making in 25 suicide attempters with
92 depressive symptoms, 25 non-suicide attempters with depressive symptoms, and 24 non-depressed
93 participants. Consistent with Richard-Devantoy and Courtet (2016), they found that compared to
94 the non-suicide attempters and non-depressed participants, suicide attempters showed greater deficits
95 in a range of tasks including the Wisconsin Card Sorting Task and the Iowa Gambling Task. These
96 findings suggest a relationship between executive dysfunction and suicidal behavior. Interestingly, a
97 study by Keilp et al. (2013) that compared executive function in depressed suicide attempters,
98 depressed non-attempters, and healthy controls found that whilst the patients group showed poor
99 performance across a number of measures, those with a past suicide attempt showed specific deficits
100 in tests of attentional control and working memory.

101 Further supporting evidence for the link between suicide and executive control comes from a
102 study by Richard-Devantoy et al. (2015) using a color-word interference test similar to the Stroop
103 task. Participants were 17 healthy controls and 38 depressed individuals with no suicide attempts or
104 ideation (thoughts of suicide), 16 depressed individuals with suicide ideation, 14 depressed low-
105 lethality suicide attempters, and 17 depressed high-lethality suicide attempters. The task involved
106 color naming, word reading, inhibition, and inhibition/switching trials and compared to healthy
107 controls and those with suicide ideation, high-lethality suicide attempters took longer to respond to
108 inhibition trials. Richard-Devantoy et al. (2015) argued that the results have important implications
109 for suicidal behavior because deficits in executive control may undermine the ability to deal with
110 real-life emotional distractions. Whilst individuals with adequate inhibition may exert control over
111 inappropriate behaviors (such as self-harm) and are better able to resist suicidal urges, those with
112 impaired inhibition may be less able to exercise control over these impulses, and may have difficulty
113 resisting the urge to act on suicidal thoughts. Such deficits may therefore predict whether an
114 individual will engage in suicidal behavior. The authors do however state that executive control is
115 impacted by age and their findings are limited due to the fact that they used a group of older adults.
116 Consequently it would be beneficial to assess executive dysfunction in a younger population.

117 Executive function is primarily controlled by the prefrontal cortex (PFC), which interacts
118 closely with other brain regions such as the anterior cingulate and the amygdala (Anderson, 2008;
119 Diamond, 2013). It has been proposed that the frontal regions of the brain, predominantly the dorsal
120 lateral and ventral lateral PFC (dlPFC, vlPFC) are responsible for guiding attention, maintaining
121 information within the mind, shifting cognitive resources between different sources of information,
122 and inhibiting the processing of task-irrelevant information (Compton et al., 2003; Lovstad et al.,
123 2016; Miller & Wallis, 2009; Miyake & Friedman, 2012; Ochsner et al., 2012). Compton et al.
124 (2003) measured PFC activity using functional Magnetic Resonance Imaging (fMRI) in a color
125 Stroop and an emotional Stroop task. In a color Stroop task (Stroop, 1935), participants are asked to
126 name the color of words that possess a congruent (e.g. the word red printed in red) or incongruent
127 semantic meaning (e.g. the word red printed in green). In an emotional Stroop task (see Williams et
128 al., 1996) participants are asked to name the color of emotional and neutral words. Both tasks require
129 the inhibition of an automated, irrelevant response (reading the word) and the allocation of resources
130 to process a relevant response (the name of the color), therefore they allow for the measurement of
131 attentional control. In general, studies show that responses are slower to incongruent trials compared

132 to congruent trials in a standard color Stroop, revealing difficulties inhibiting the automatic
133 processing of irrelevant information (known as the Stroop interference effect; Beall & Herbert, 2001;
134 MacLeod, 1991). Responses are also slower to emotional words compared to neutral words in an
135 emotional Stroop task (also known as the emotional Stroop effect; Ben-Haim et al., 2016; Cothran &
136 Larsen, 2008; Gilboa-Schechtman et al., 2000). This is particularly the case in patient groups when
137 the emotional words are related to affective disorders (e.g. Gotlib et al., 2004). Compton et al. (2003)
138 found that activity in the dlPFC increased for trials in which the word was incongruent to the color
139 and for trials in which negative words were presented. It is argued that increased activity reflects
140 greater investment of resources in order to inhibit automatic responses (regardless of whether these
141 are emotionally significant); therefore difficulties recruiting the dlPFC would lead to impaired
142 inhibition.

143 Pan et al. (2011) measured response inhibition using the Go/No-go task in adolescents with
144 various degrees of suicidal thoughts and actions. The sample included 15 depressed adolescents with
145 a history of suicide attempts, 15 depressed adolescents with no history of suicidal behavior, and 14
146 healthy controls. The Go/No-go task requires participants to press a button in response to a target
147 stimulus (Go), but to inhibit the button press and do nothing in response to a non-target stimulus (No-
148 go). In the healthy controls fMRI recordings showed increased activation of the prefrontal, anterior
149 cingulate, and parietal cortical regions. The anterior cingulate in particular is considered crucial for
150 inhibitory control (Løvstad et al., 2016) and whilst the depressed individuals with no past history of
151 suicidal behavior did not differ from the controls with regard to activity in this area, the depressed
152 adolescents with a history of suicide attempts showed significantly reduced activity. This indicates
153 impairments in inhibitory control for suicidal individuals and also shows the relationship between
154 cognitive processing and cortical activity. The findings were consistent with those of Compton et al.
155 (2003) regarding the association between frontal activity and attentional control. They also suggest
156 that this association may constitute a neurobiological basis for predisposition to suicidal behavior. It
157 is proposed that executive control may moderate frontal cortical activity and neurological measures
158 may therefore be used to predict suicidal behavior of individuals beyond the currently used self-
159 report measures.

160 The majority of past research exploring the neurological basis of affective disorders has
161 focused on clinical depression and there are comparatively fewer studies that measure executive
162 function and neurological activity in suicidal populations. The initial argument that limited executive
163 function and patterns of PFC activity may be related to affective disorders came from observations of
164 patients who had experienced a stroke and were suffering from clinical depression (Gainotti, 1972;
165 see Harmon-Jones et al., 2010 for a review). It was evident that following damage to the left
166 prefrontal regions some patients became increasingly depressed, whilst damage to the right frontal
167 regions resulted in increasing levels of manic symptoms. Schaffer et al. (1983) explored this
168 dissociation by measuring cortical activity in patients who were suffering from depression to varying
169 extents. The aim was to identify any ‘asymmetry’ of activity to support the claim that different
170 patterns of frontal activation may be related to the severity of the disorder. Electroencephalogram
171 (EEG) electrodes were placed on the frontal and parietal regions of the brain and similar to the
172 clinical report of Gainotti (1972), patients indicating more severe symptoms of depression showed
173 greater activity in the right compared to the left. Importantly, this pattern was only found in the
174 frontal regions, not the parietal regions.

175 These findings led to a rapid expansion of research surrounding lateralized frontal activation
176 and EEG has been a common tool used to measure the correlates of relative hemispheric dominance
177 (Tomarken et al., 1992; Tucker, 1981). It has been posited that the left hemisphere is dominant for
178 processing positive emotions whereas the right hemisphere is dominant for processing negative

179 emotions. This means that if individuals have greater electro cortical activity in the right frontal
180 region, they will have a disposition towards focusing on negative emotions and information.
181 Supporting evidence for this came from Davidson and Fox (1982) who were among the first to use
182 asymmetric frontal cortical activity to make inferences about frontal asymmetry and emotions. They
183 suggested that patterns of lateralized brain activity can be identified as early as infancy and to test
184 this hypothesis they recruited 10-month old infants to view videotapes consisting of happy or sad
185 facial expressions. Activity in frontal and parietal regions was recorded and it was found that
186 increased activation in the left (relative to the right) corresponded to viewing happy faces whilst
187 increased activation in the right (relative to the left) corresponded to viewing sad faces. The findings
188 were also consistent with those of Schaffer et al. (1983) as the differential pattern of activity was only
189 found in the frontal regions, not the parietal regions.

190 Based on this research, Davidson et al. (1979, 1984) developed the *dispositional model*. The
191 model holds a valence hypothesis that positive affect is associated with leftward frontal cortical
192 activity, and negative affect is associated with rightward frontal cortical activity (e.g. Tomarken et
193 al., 1992). Since the introduction of this model research has been conducted to show the relationship
194 between asymmetric frontal activation and depression (Allen & Kline, 2004; Allen & Reznik, 2015;
195 Heller & Levy, 1981; Thibodeau et al., 2006; Tomarken et al., 1992; Tucker, 1981). Overall the
196 findings show that patients with a history of depression, or with recurrent depression have relatively
197 lower left frontal cortical activity (Gotlib et al., 1998), also known as left frontal hypoactivation (for
198 reviews, see Davidson et al., 2002; Miller & Cohen, 2001; Miller, et al., 2013). This is in contrast to
199 healthy controls that show the opposite pattern with greater leftward frontal cortical activity (Stewart
200 et al., 2010; Thibodeau et al., 2006). The level of reduced leftward activity also correlates with the
201 level of symptoms reported suggesting that this may provide a potential marker for assessing severity
202 of disorders in patients (Saletu et al., 2010).

203 The dispositional model makes the assumption that positive emotion is always associated
204 with leftward frontal activation and negative emotion is always associated with rightward frontal
205 activation. This has been challenged by Coan et al. (2006) who proposed the *capability model*. This
206 model supports the claim that individual differences in frontal asymmetry exist but argues that the
207 differences will vary according to different situational contexts (Coan & Allen, 2004; Harmon-Jones
208 et al., 2003). Therefore, whilst the dispositional model posits that rightward frontal activity will
209 correspond to more negative emotional responses in all situations (e.g. in events that trigger joy, fear,
210 or sadness), Coan and Allen (2006) propose that differences in frontal asymmetry correspond to the
211 different emotional demands of a situation. The capability model therefore suggests that
212 asymmetrical differences are best thought of as interactions between individual differences and
213 situational demands.

214 Despite the differences in these two models, neurological findings provide evidence that
215 frontal asymmetry may serve as an indirect neurological indicator for predicting depression, or even
216 suicide risk. For instance, using event-related fMRI, Jollant et al. (2008) compared the neural activity
217 of previously depressed men with past suicide attempts, previously depressed men with no suicide
218 attempts, and healthy male controls. Across the three groups, only those with a history of suicide
219 attempts showed frontal asymmetrical differences in response to emotional faces (angry, happy, and
220 neutral). Specifically, they showed increased neural activation in the right lateral orbitofrontal cortex
221 in response to angry faces relative to neutral faces. Jollant et al. argue that increased sensitivity to
222 another person's disapproval (e.g. in the form of an angry facial expression) and a higher propensity
223 to process and act on negative emotions may exacerbate suicidal behavior in suicidal individuals.
224 This links to the proposal that increased processing of negative information (as demonstrated by
225 increased activation) may serve to maintain negative attentional biases in individuals suffering from

226 affective disorders (Auerbach et al., 2013; Disner et al., 2011; Joormann & Tanovic, 2015).

227 Grimshaw and Carmel (2014) provided an explanation for the inhibitory difficulties in
228 depressed individuals arguing that they are unable to utilize the parts of the brain (i.e. the left PFC)
229 responsible for inhibition, particularly the inhibition of negative information. Studies have supported
230 this by showing that failure to recruit the left dlPFC when presented with irrelevant negative
231 information is associated with depression (Engels et al., 2010; Herrington et al., 2010) and trait
232 negative affect (Crocker et al., 2012). Given the relationship between frontal asymmetry and
233 inhibition, Grimshaw and Carmel (2014) have proposed the *asymmetric inhibition model*. It is
234 predicted that each frontal region specializes in the inhibition of different types of emotions, with the
235 left dlPFC responsible for inhibiting negative stimuli, and the right dlPFC responsible for inhibiting
236 positive stimuli. Therefore, frontal asymmetric activation reflects the ability to inhibit different types
237 of emotional stimuli.

238 The current study aims to further investigate the relationship between frontal asymmetry,
239 executive function (specifically attentional control), and suicidal behavior. Whilst the majority of the
240 previous research focuses on clinical samples there is an argument that early identification of those at
241 risk of suicidal behavior is essential (Palmer, 2004; Klonsky & May, 2014). On the basis of this the
242 present work explores the links between suicidal behavior, attentional control, and asymmetry using
243 a non-clinical population reporting relatively mild symptoms. Frontal asymmetry was recorded from
244 individuals reporting high and low levels of suicidal behavior at resting state (both eyes closed and
245 eyes opened) and during a color Stroop task and an emotional Stroop task. The dispositional model
246 (Davidson et al., 1979; 1984) asserts that individuals who report higher levels of suicidal thoughts
247 and behaviors will exhibit rightward frontal activity compared to those with low suicide risk
248 regardless of the situation. However, the capability model (Coan et al., 2006) argues that the effect of
249 suicidal behavior on asymmetric frontal brain activation will be more pronounced during emotionally
250 demanding situations. By comparing frontal asymmetry at resting state and in emotional and non-
251 emotional tasks it will be possible to test the predictions of these two models. Using the Stroop task
252 also allows differences in attentional control to be compared according to levels of suicidal behavior.
253 It is proposed that individuals reporting higher levels of suicidal behavior (high-risk) will show more
254 difficulties in attentional control and will therefore be at a greater risk of suicide (and more likely to
255 make a future suicide attempt) because they are less able to inhibit negative thoughts and direct
256 attention towards task-relevant information. In contrast, those who experience low levels of suicidal
257 behavior will have effective attentional control and will therefore be less likely to focus on irrelevant
258 negative thoughts and actions. On the basis of this it was predicted that individuals with a high-risk
259 would show a bigger Stroop interference effect in the color Stroop task compared to those in the low-
260 risk group. For the emotional Stroop task, it was predicted that all participants would show the
261 expected emotional Stroop effect, but that the high-risk group would show increased difficulty
262 inhibiting negative words. According to the models of frontal asymmetry it was hypothesized that
263 those who report high levels of suicidal behavior would also show relatively higher rightward frontal
264 activation during the color Stroop task. Additionally, in the emotional Stroop task, leftward frontal
265 activation would correspond to inhibition of negative stimuli whereas rightward frontal activation
266 would correspond to inhibition of positive stimuli.

267 2 Materials and Method

268 2.1 Design

269 The study used a mixed measures design to investigate the effects of suicidal behavior in a Stroop
270 task and an emotional Stroop task. Suicidal behavior was a between-participants variable with two

271 conditions, high-risk and low-risk. In the color Stroop task a 2 (suicidal behavior) x 2 (congruency)
272 design was used. Congruency referred to whether each color word was the same (congruent) or
273 different (incongruent) to the color of ink in which the word was presented and this was a within-
274 participants variable. In the emotional Stroop task a 2 (suicidal behavior) x 3 (emotion) design was
275 used. Emotion was the valence of the words presented with positive, negative, and neutral words.
276 This was a within-participants variable. The dependent variables were accuracy (total number of
277 correct responses), and response times (milliseconds) to respond to the color of each word. A self-
278 reported measure of depression was also recorded for each participant.

279 Frontal asymmetry (uV^2) was recorded during resting state and during the color Stroop and
280 emotional Stroop tasks. In the resting state and color Stroop task asymmetry was compared between
281 the high and low risk groups. In the emotional Stroop task asymmetry was compared between these
282 two groups and across the three conditions of emotion.

283 This study was carried out in accordance with the recommendations of The British
284 Psychological Society. The protocol was approved by the Research Ethics Panel for the School of
285 Health Sciences at the University of Salford. All participants gave written informed consent in
286 accordance with the Declaration of Helsinki.

287 **2.2 Participants**

288 Fifty-four undergraduate students (32 females) studying at The Open University in Hong Kong were
289 recruited by convenience sampling. Age ranged from 18 to 27 years, with a mean of 21.65 years (SD
290 = 2.10). Prospective participants were prescreened for previous history of neurological and mental
291 health problems (e.g., currently taking medication known to affect cognitive performance, cognitive
292 deficits, and diagnosis of PTSD).

293 **2.3 Stimuli and Materials**

294 Suicidal behavior was measured using the Suicidal Behavior Questionnaire - Revised (SBQ-R;
295 Osman et al., 2001). This is a 4-item inventory that explores different dimensions of suicidal thoughts
296 and actions. Item 1 measures lifetime suicide ideation and/or suicide attempts, item 2 assesses the
297 frequency of suicidal thoughts in the previous 12 months, item 3 quantifies the threat of a suicide
298 attempt, and item 4 is the self-reported likelihood of future suicidal behavior. Each question was
299 answered using a Likert scale and the scale for each question differed slightly, with scales ranging
300 from a minimum of 1 to a maximum of 6. Total scores, ranging from 3 to 18, represent overall
301 suicide risk whereby higher scores represent greater risk. In an undergraduate student population the
302 SBQ-R has demonstrated good internal reliability with Cronbach's alpha ranging from 0.76 (Osman
303 et al.) to 0.8 (Aloba et al., 2017; Cotton et al., 1995). Individuals scoring a total of 7 or above were
304 considered to be at a significant risk of suicidal behavior. A cut-off of 7 was selected on the basis of
305 past findings from Osman et al. (2001) who found a total score of 7 was most effective at
306 distinguishing between those who had suicide ideation and/or had made a suicide attempt from those
307 who had not experienced suicide behavior. This differs from clinical populations, and whilst Osman
308 et al. (2001) suggest a cut-off of 8, Rueda-James et al. (2017) propose a cut-off of 11 for clinical
309 populations.

310 The Stroop tasks were presented on a 19" computer monitor using E-Prime. In the color
311 Stroop task the words "red", "yellow", "blue", and "green" were presented in bold Times New Roman
312 font, size 28. Each word was presented in the color red, yellow, blue, or green depending on the
313 congruence of the trial. The emotional Stroop task was adapted from Herrington et al. (2010) and
314 consisted of positive, negative, and neutral words presented in one of the four colors (red, yellow,

315 blue, and green). A total of 192 words were used from the Affective Norms for English Words
316 (ANEW, Bradley & Lang, 1999), 64 positive (e.g., birthday, laughter, angel), 64 negative (e.g.,
317 bankrupt, suicide, funeral), and 64 neutral (e.g., handle, carpet, time). Valence of positive words
318 ranged from 6.17 to 8.43 with a mean of 7.49, valence of negative words ranged from 1.61 to 3.69
319 with a mean of 2.47, and valence of neutral words ranged from 4.02 to 7.57 with a mean of 5.64.

320 Depression was measured using the Beck Depression Inventory-II (BDI-II; Beck, Steer, &
321 Brown, 1996). This self-report inventory measures different aspects of depression such as sadness
322 and irritability. It is a 21-item inventory and all items are assessed on a four-point rating scale from
323 zero to three (0 indicates no symptoms and a score of 3 indicates severe symptoms). Each item
324 focuses on a particular feeling or behavior and respondents are asked to indicate the extent to which
325 they have experienced this in the previous two weeks. For instance, item 14 focuses on
326 “worthlessness” with responses from 0 (“I do not feel I am worthless”) to 3 (“I feel utterly
327 worthless”). The total score ranges from 0 to 63 with higher scores indicating more severe depression
328 symptoms. A score of 17 or above represents a risk of clinical depression, and scores higher than 31
329 are indicative of more severe depression. In the current investigation responses to item 9 were
330 removed. This item refers to suicidal behavior and was removed to avoid any overlap with the SBQ-
331 R.

332 EEG activity was recorded using an Emotive EEG Neuroheadset with a sampling rate of
333 128Hz (Emotiv Technology Inc., USA) that records from 14 sites (AF3, AF4, F3, F4, FC5, FC6, F7,
334 F8, T7, T8, P7, P8, O1, O2) using a 16-channel Biosemi Active Two system. Two additional
335 electrodes situated at the back of the ears (CMS, DRL) were selected as the reference of choice for all
336 analyses and all sites were referenced to the average of these electrodes during recording, and re-
337 referenced off-line. Frontal electrodes were F3, F4, F7, F8, AF3, and AF4. Central electrodes were
338 FC5, FC6, T7, and T8. Parietal electrodes were P7 and P8 and occipital electrodes were O1 and O2.
339 The numbers also indicated the area of the right/left hemispheres of the brain an electrode was
340 located, where even numbers represent the right hemisphere and odd numbers refer to the left
341 hemisphere. Prior to use, all felt pads on top of the sensors were moistened with a saline solution.

342 **2.4 Procedure**

343 After providing written informed consent participants were seated in a dimly lit room and the EEG
344 headset was affixed to the scalp with sites located according to the 10/20 system (Malmivuo &
345 Plonsey, 1995). The impedance at each site was checked to ensure good contact quality (large signal
346 to noise ratio). Participants were instructed to remain seated in a relaxed state and EEG recordings
347 were taken with the eyes closed for two minutes and the eyes open for two minutes to provide a
348 resting state measure. Next, participants were asked to complete the color and the emotional Stroop
349 tasks whilst wearing the EEG headset. The order of the tasks was counterbalanced across
350 participants. For both tasks, a trial began with a fixation cross of 500ms followed by the presentation
351 of a word in the center of the computer screen. For each word participants were asked to identify the
352 color of the text (red, yellow, blue, or green) as quickly as possible by pressing the corresponding key
353 on the computer keyboard (R, Y, B, and G). A total of 60 trials were completed in the color Stroop
354 task with 30 congruent and 30 incongruent trials. There were an equal number of words presented in
355 red, yellow, blue, and green and all trials were presented in a random order. The emotional Stroop
356 task consisted of three emotional blocks showing positive, negative, and neutral words. The order of
357 the blocks was randomized and there were 64 trials in each block. An equal number of words were
358 presented in each of the four colors within each block and all trials were presented in a random order.

359 **2.5 EEG Data Processing**

360 Activity was recorded across the entirety of each block to allow for a general pattern of hemispheric
 361 asymmetry to be gained. Consequently activity was taken for all elements within a trial (fixation,
 362 stimulus presentation, and response) and a precise measure of electro cortical activity in specific time
 363 epochs was not generated. Activity within each block was compared to a baseline measure taken over
 364 a period of 20 seconds in eyes-open resting state immediately prior to each block. All artifact
 365 screening, re-referencing, and spectral analysis were performed using EEGLAB toolbox (Delorme &
 366 Makeig, 2004) and custom scripts in MATLAB (release 2007). Each data file was visually inspected
 367 to manually remove artifacts such as aberrant signals due to large non-blink eye movements, muscle
 368 movements, or signal discontinuities. Further EEG artifacts were removed using an independent
 369 component analysis (ICA, Delorme & Makeig, 2004) during offline signal processing. A bandpass
 370 filter of 2-45Hz and a notch filter of 50Hz were applied to the raw data with 128Hz sampling
 371 frequency per channel. A Hamming window (1024 sample and 50% overlap) was also applied to the
 372 data in preparation for spectral analysis, from which the power and asymmetry estimates were
 373 derived.

374 The experiment was completed in blocks (eyes open resting, eyes closed resting, color Stroop,
 375 positive, negative, and neutral emotional Stroop) and activity was recorded and analyzed across each
 376 block. Frontal alpha asymmetry was calculated in 1Hz frequency bins and averaged across the
 377 frequency bandwidths of interest: delta (1.5-3.5Hz), theta (4-7.5Hz), alpha (8-13Hz), alpha1 (8-
 378 10Hz), alpha2 (10-13Hz), beta1 (13-20Hz), and beta2 (20-28Hz). Frontal alpha asymmetry was
 379 calculated for F3 (left frontal) and F4 (right frontal) electrodes using the Fast Fourier Transform
 380 (FFT) method. The alpha power values for F3 and F4 were natural log transformed (Delorme &
 381 Makeig, 2004) such that an asymmetry score comparing activity in the right hemisphere (RH) to
 382 activity in the left hemisphere (LH) in each block was computed ($\ln \text{ALPHA} = (\ln[\text{RH}] - \ln[\text{LH}])$).
 383 Frontal asymmetry indices were calculated by subtracting the natural log of the power of the left
 384 hemisphere electrode from that of the right hemisphere electrode ($\ln [\text{right (F4)}] - [\text{left (F3)}]$) (Allen
 385 et al., 2004). Given the inverse relationship between alpha power and cortical activity (Oakes et al.,
 386 2004), a positive alpha asymmetry index reflects relatively higher left frontal activity and lower right
 387 frontal activity, and a negative asymmetry index reflects relatively higher right frontal activity and
 388 lower left front cortical activity.

389 **3 Results**

390 Two participants were excluded from the analysis due to poor EEG data or missing behavioral
 391 data. The remaining 52 participants (31 females) were all right handed and were not taking any
 392 medication known to affect brain activity or cognitive performance. The SBQ-R had a suitable level
 393 of internal reliability that was consistent with past studies (e.g. Osman et al. 2001), Cronbach's $\alpha =$
 394 0.74. Participants were separated into high and low suicidal behavior groups according to their total
 395 score on the SBQ-R. Participants with a total score below 7 were categorized as low-risk (median
 396 score = 5, range = 3-6), whilst participants with a score of 7 or above were categorized as high-risk
 397 (median score = 9.5, range = 7-15). There were a total of 22 participants (13 female, aged 20-23,
 398 mean age of 21.55) in the high-risk group and 30 (18 female, aged 20-25, mean age of 21.94) in the
 399 low-risk group. Six participants in the high-risk group and none of the participants in the low-risk
 400 group reported a past suicide attempt. To ensure that any group differences were not driven by those
 401 who had made a past suicide attempt, the results were analyzed once with all participants included,
 402 and a second time without attempters. Analysis without attempters is only reported where the results
 403 differed from that of the full sample.

404 The data did not meet parametric assumptions therefore a Mann Whitney U test was used to
 405 confirm that the SBQ-R scores between the two groups were significantly different, $U = 0.001, z =$

406 -6.162, $p < .001$, $r = 0.85$. Analysis of scores from the BDI-II (without item 9) also showed that
 407 participants in the high suicidal behavior group reported significantly higher levels of depression
 408 (median score = 28, range = 17-42) than those in the low suicidal behavior group (median score =
 409 8, range = 1-16), $U = 112.500$, $z = -4.034$, $p < .001$, $r = 0.57$.

410 3.1 Resting EEG

411 To investigate differences in alpha asymmetrical activation in relation to suicidal behavior in the
 412 resting state, independent t-tests were conducted with suicidal behavior group as the between-
 413 participant variable and alpha asymmetrical index as the dependent variable. Opposite to what was
 414 expected, the alpha asymmetrical index was higher in the high-risk group ($M = 0.07\mu V^2$, $SD =$
 415 0.49) than the low-risk group ($M = 0.51\mu V^2$, $SD = 0.67$) during the eyes open resting state, $t(50) =$
 416 -2.63 , $p = .01$, Cohen's $d = 0.75$. This means that whilst both groups showed more activity in the
 417 left compared to the right, this was most pronounced for the high-risk group. There was no
 418 significant difference in alpha asymmetry between the high and low risk groups during the eyes
 419 closed resting state, $t(50) = -4.497$, $p = .141$, Cohen's $d = 0.42$.

420 3.2 Performance in the Stroop task

421 All incorrect trials were removed and any correct response times that were more than 2.5 standard
 422 deviations from the mean were classed as outliers and removed (a total of 4.84% of trials). Accuracy
 423 was analyzed using a generalized estimating equation (GEE) assuming a negative binomial
 424 distribution. All RTs were log transformed to ensure data met the assumptions of a normal
 425 distribution and RT data was analyzed using a 2 (suicidal behavior) x 2 (congruency) mixed
 426 measures ANOVA.

427 Analysis of accuracy in the color Stroop task showed a significant main effect of suicidal
 428 behavior, Wald $\chi^2(1) = 4.385$, $p < 0.05$, Cohen's $d = 0.61$. Accuracy was higher for the low-risk
 429 group ($M = 28.82$, $SD = 1.16$) compared to the high-risk group ($M = 27.35$, $SD = 1.71$) There was
 430 also a significant effect of congruency, Wald $\chi^2(1) = 24.053$, $p < .001$, Cohen's $d = 1.86$, with higher
 431 accuracy in congruent ($M = 29.4$, $SD = 0.76$) compared to incongruent trials ($M = 27.78$, $SD = 1.51$).
 432 There was a significant interaction between suicidal behavior and congruency, Wald $\chi^2(1) = 6.158$, p
 433 $< .05$, Cohen's $d = 0.73$. Differences between the low and high-risk groups were only found in the
 434 incongruent trials (means of 28.19 and 27.35 respectively, standard deviations of 1.12 and 1.76) and
 435 not the congruent trials (means of 29.44 and 29.36, standard deviations of 0.89 and 0.67), see figure
 436 1a.

437 For RT (see figure 1b) there was a significant effect of suicidal behavior, $F(1, 50) = 28.916$,
 438 $MSE = 27712.152$, $p < .001$, partial $\eta^2 = 0.366$. Participants reporting lower levels of suicidal
 439 behavior showed faster response times than those with higher levels (means of 700.95ms and
 440 878.62ms respectively and standard deviations of 146.60 and 144.17). There was a significant effect
 441 of congruency, $F(1, 50) = 157.325$, $MSE = 4360.631$, $p < .001$, partial $\eta^2 = 0.719$, with faster
 442 response times to congruent ($M = 704.17$ ms, $SD = 135.59$) than incongruent trials ($M = 848.05$ ms,
 443 $SD = 170.78$). There was no interaction between suicidal behavior and congruency ($F(1, 50) =$
 444 1.222 , $MSE = 4360.63$, $p = .274$, partial $\eta^2 = 0.084$).

445 There was no significant difference in alpha asymmetrical index between high ($M = 0.334\mu V^2$,
 446 $SD = 0.50$) and low ($M = 0.452\mu V^2$, $SD = 0.85$) risk groups in the color Stroop task, $t(50) = -.580$, p
 447 $= .564$, Cohen's $d = 0.18$, see figure 2.

448 3.3 Performance in the emotional Stroop task

449 Analysis of the emotional Stroop task followed that of the color Stroop task. Accuracy was analyzed
 450 using a GEE and RT was analyzed with a 2 (suicidal behavior) x 3 (emotion) mixed measures
 451 ANOVA. A total of 4.21% of trials were removed due to low accuracy or because response times
 452 were more than 2.5 standard deviations from the mean. All RTs were log transformed to satisfy
 453 distributional assumptions.

454 For accuracy (figure 2a) the model revealed a significant main effect of suicidal behavior,
 455 Wald $\chi^2(1) = 4.069, p < .05$, Cohen's $d = 0.58$. Accuracy was higher for the low-risk group ($M =$
 456 $61.88, SD = 2.39$) compared to the high-risk group ($M = 61.17, SD = 1.74$). There was no main effect
 457 of emotion, Wald $\chi^2(1) = 1.034, p = .309$, Cohen's $d = 0.28$, and no interaction between suicidal
 458 behavior and emotion, Wald $\chi^2(1) = 2.483, p = .115$, Cohen's $d = 0.45$.

459 For RT (figure 2b), there was a significant effect of suicidal behavior, $F(1, 50) = 11.30, MSE$
 460 $= 8495.123, p < .001$, partial $\eta^2 = 0.184$, with faster response times in the high-risk group ($M =$
 461 $593.31\text{ms}, SD = 84.83$) compared to the low-risk group ($M = 679.76\text{ms}, SD = 94.95$). There was no
 462 significant effect of emotion, $F(2, 100) = 1.824, MSE = 3969.585, p = .110$, partial $\eta^2 = 0.035$, and
 463 no interaction between suicidal behavior and emotion, $F(2, 100) = .608, MSE = 2413.522, p = .546$,
 464 partial $\eta^2 = 0.012$.

465 To assess inhibition of suicide-related stimuli response times were also considered across the
 466 two groups when responding to the word "suicide". A between-participants t-test was conducted that
 467 showed significantly longer response times for the high-risk group compared to the low-risk group
 468 (means of 725.20ms and 652.78ms respectively, standard deviations of 126.32 and 116.12), $t(50) =$
 469 $2.17, p = .035$, Cohen's $d = 0.6$.

470 A 2 (suicidal behavior) x 3 (emotion) mixed measures ANOVA was conducted to analyze
 471 alpha asymmetrical index in the emotional Stroop task (where sphericity was violated Greenhouse-
 472 Geisser corrections are reported). This showed a significant effect of suicidal behavior, $F(1, 50) =$
 473 $4.024, MSE = 0.484, p = .05$, partial $\eta^2 = 0.074$, with a more positive index in the low-risk group (M
 474 $= 0.49\text{uV}^2, SD = 0.81$) compared to the high-risk group ($M = 0.10\text{uV}^2, SD = 0.61$). With the removal
 475 of attempters from the high-risk group this effect was no longer significant, $F(1, 44) = 2.955, MSE =$
 476 $0.501, p = .093$, partial $\eta^2 = 0.063$. There was also a significant effect of emotion, $F(1.358, 67.91) =$
 477 $13.73, MSE = 0.113, p < .001$, partial $\eta^2 = 0.215$. Planned contrasts were completed to compare
 478 asymmetry in the positive and negative conditions to that in the neutral condition. These revealed
 479 that the alpha asymmetry index was significantly higher for negative words ($M = 0.44\text{uV}^2, SD =$
 480 0.78) compared to neutral words ($M = 0.18\text{uV}^2, SD = 0.80$), $F(1, 50) = 16.632, MSE = 0.231, p <$
 481 $.001$, partial $\eta^2 = 0.250$, and higher for positive words ($M = 0.37\text{uV}^2, SD = 0.66$) compared to neutral
 482 words, $F(1, 50) = 12.852, MSE = 0.175, p = .001$, partial $\eta^2 = 0.204$, see figure 3. There was no
 483 interaction between emotion and suicidal behavior, $F(1.358, 67.91) = 3.068, MSE = 0.113, p = .072$,
 484 partial $\eta^2 = 0.058$. This interaction was however significant when attempters were removed from the
 485 high-risk group, $F(1.38, 60.902) = 5.312, MSE = 0.079, p < .05$, partial $\eta^2 = 0.11$. This supported a
 486 trend showing that the high-risk participants showed a negative asymmetry index in the neutral
 487 condition compared to the positive ($F(1, 44) = 7.724, MSE = 0.185, p < .01$, partial $\eta^2 = 0.15$) and
 488 negative conditions ($F(1, 44) = 4.565, MSE = 0.232, p < .05$, partial $\eta^2 = 0.094$). This reflects more
 489 rightwards relative to leftwards activity in the neutral condition and this pattern was not found for the
 490 low-risk participants.

491 4 Discussion

492 Deficits in cognitive processing and neurological activity have been consistently linked to suicidal
493 behavior in previous research (Imbir & Jarymowicz, 2013; Miller & Wallis, 2009; Richard-
494 Devantoy & Courtet, 2016) and the current study sought to extend this work by examining the
495 association between frontal asymmetry, attentional control, and suicidal behavior. Frontal asymmetry
496 was compared between individuals reporting high and low levels of suicidal behavior at resting state
497 (both eyes closed and eyes opened), during a color Stroop task, and during an emotional Stroop task.
498 It was predicted that individuals with a high risk of suicidal thoughts and actions would show general
499 difficulties in attentional control, difficulties inhibiting negative stimuli, and reduced leftwards-
500 frontal activity.

501 In the color Stroop task, the high-risk group took significantly longer and were less accurate
502 than the low risk group to identify the color of each word, regardless of whether this was congruent
503 or incongruent. They were also less accurate when responding to incongruent trials. This shows their
504 difficulties with inhibiting irrelevant information. The results are consistent with previous research
505 (e.g., Keilp et al., 2013; Richard-Devantoy et al., 2015), showing that suicidal individuals have more
506 difficulty inhibiting distracting information. Inhibition is one of three components of executive
507 function (Miyake et al., 2000) that contributes to the control and regulation of behavior. It is a crucial
508 element within attentional control and in many every-day tasks an individual needs to inhibit the
509 automatic processing of irrelevant information and direct attention towards relevant information. It is
510 argued that poor attentional control contributes to suicidal behavior as it prevents the disengagement
511 from suicide-related thoughts making one less able to resist suicidal urges (Richard-Devantoy et al.,
512 2015), and it limits the redirection of resources to more positive information therefore maintaining
513 negative biases.

514 In contrast to the results of the color Stroop task, in the emotional Stroop task the high-risk
515 group responded quicker than the low-risk group (although this was at the expense of accuracy). This
516 pattern was found for all three types of words (positive, negative, and neutral) and would indicate
517 that those reporting high levels of suicidal behavior are able to inhibit irrelevant information more
518 effectively than those reporting low levels. The overall lack of any emotional Stroop effect within
519 this task is also inconsistent with past findings showing that response times in an emotional Stroop
520 task are generally slower to emotional words compared to neutral words (Ben-Haim et al., 2016;
521 Cothran & Larsen, 2008). It may be proposed that individuals with a high risk of suicidal behavior
522 are slower to inhibit irrelevant information at a general level, yet when presented with emotional
523 stimuli they may act more quickly and somewhat impulsively (this would be supported by the speed-
524 accuracy trade-off whereby the high-risk group sacrificed accuracy for faster responses). The
525 importance of impulsivity has been identified in the warning signs for suicidal behavior listed by the
526 American Association of Suicidology and includes acting recklessly (American Association of
527 Suicidology, 2017). The Association documented that the presence of impulsivity, inhibitory
528 problems, and inflexible thinking processes may lead to an increased risk of suicidal behavior. Rudd
529 (2006) has also incorporated the measures of impulsivity into suicide risk assessment tools. This
530 reflects the proposed importance of executive dysfunction in suicidal behavior with symptoms
531 indicative of poor updating (sustained focus on negative information), shifting (inability to direct
532 resources to task-relevant information), and inhibition (inability to suppress the processing of
533 irrelevant, negative information).

534 Whilst it may be argued that those with high levels of suicidal behavior can respond more
535 quickly to emotional stimuli compared to neutral, one may question why this group did not show
536 longer response times in the neutral condition of the emotional Stroop task (similar to the color
537 Stroop). This effect illustrates key differences between these two tasks. In particular, in a color
538 Stroop the to-be-ignored information in incongruent trials (the word) is in direct competition with the

539 to-be-identified information (the color). This is not the case in the emotional Stroop task.
540 Consequently the differing patterns of performance across the two tasks may indicate that individuals
541 with a greater risk of suicide will have more difficulty inhibiting directly competing responses, but
542 not information that has no semantic relationship to the task they are completing. Further support for
543 this argument comes from the response times in identifying the color of the word “suicide” in the
544 emotional Stroop task. Results showed that the pattern of performance in the task reversed and those
545 in the high-risk group took longer to respond to the color of this word showing that they have
546 difficulties inhibiting emotionally relevant information. It is proposed that such “personally” relevant
547 information is more salient and despite being irrelevant to the task it competes for attentional
548 resources in the same way that the directly competing word meaning does in the color Stroop.

549 The bias of attention to emotionally significant stimuli supports the findings of Chung and
550 Jeglic (2016) who also reported no emotional Stroop effect in individuals high in suicidal behavior
551 but found evidence for a specific attentional bias to the word “suicide”. Cha et al. (2010) propose that
552 a stimulus-specific Stroop interference effect (whereby only disorder-related words lead to longer
553 response times) may be particularly useful for clinicians. They found that it was able to predict,
554 above and beyond other clinical measures, those individuals who went on to make a suicide attempt
555 within the following 6 months. Evidently, the current findings support this suggestion, in that a
556 specific attentional bias may exceed the predictive ability of any general negativity bias. This can add
557 to cognitive models that attempt to explain the development and persistence of affective disorders
558 such as depression (e.g., Auerbach et al., 2013; Disner et al., 2011). It is theorized that an individual
559 will be automatically distracted by negative information and the processing of this information will
560 lead to an attentional bias. The results of the emotional Stroop task would suggest that these biases
561 are disorder-specific, and whilst general deficits in top-down control predicted in the model will limit
562 inhibitory processing at a general level (as demonstrated in the Stroop task) it will also manifest in
563 specific impairments in the ability to inhibit disorder-related thoughts and behaviors.

564 In addition to measuring the importance of attentional control in suicidal behavior, the current
565 study also aimed to determine whether patterns of frontal asymmetry could be used to identify those
566 at risk of suicidal behavior. The dispositional model (Davidson et al., 1979; 1984) argues that
567 positive affect is associated with leftward frontal cortical activity and negative affect is associated
568 with rightward frontal cortical activity, whereas the capability model (Coan et al., 2006) predicts that
569 frontal asymmetrical differences will be more pronounced under specific situational contexts (Coan
570 et al., 2006; see also Stewart et al., 2014). To examine frontal asymmetry in relation to both models,
571 activity was measured during an emotionally challenging state (the emotional Stroop) to see if this
572 may provide a more promising indicator of suicide risk than activity measured during resting state (as
573 favored by the dispositional model) and during a challenging but non-emotional task (the color
574 Stroop).

575 The EEG recordings in the eyes closed resting state gave no support for the dispositional
576 model as individuals with high and low risk did not differ in their alpha asymmetry index. Although
577 there was a significant group difference during the eyes open resting condition, the difference was
578 opposite to the predictions made. Individuals in the high-risk group had more leftward frontal activity
579 than the low-risk group indicating that this side of the brain is more active at baseline. It is interesting
580 to note that increased leftward frontal activity is associated with the inhibition of negative
581 information (Grimshaw & Carmel, 2014) and may reflect inhibition of general negative thoughts that
582 an individual with suicidal behavior could be experiencing when not completing a demanding task
583 (this would not be apparent in the low-risk group as it is predicted they would not experience
584 upsetting thoughts and so would not need to engage in inhibition). However, when the EEG
585 recordings were taken during the color Stroop task there was no significant difference in alpha

586 asymmetrical index between high and low risk groups. This reveals that measurements of frontal
587 asymmetry taken during a demanding task are no more effective than those taken in a resting state
588 with regards to identifying individuals high in suicidal behavior. The differences between activity in
589 the Stroop and the eyes open resting state may also suggest that when engaged in a demanding
590 neutral task high-risk participants in the current sample (reporting relatively mild suicidal behavior)
591 are not having to devote additional resources to the inhibition of negative thoughts because the focus
592 on the task itself prevents the processing of such information. Yet the results of asymmetry do not
593 reflect performance in the Stroop task as the high-risk group performed less well than the low-risk
594 group but showed no corresponding differences in frontal asymmetry. This may be due to the fact
595 that stimuli in this task were neutral and therefore any increase in activity is unlikely to be related to
596 specific inhibition of positive (right) or negative (left) information. This indicates a limitation to the
597 use of asymmetry as a marker for affective disorders, including suicide. Compton et al. (2003) found
598 increased activity overall in the dlPFC for incongruent trials in a color Stroop and suggested that this
599 shows greater investment in cognitive control processes in order to inhibit this information.
600 Asymmetry does not provide a direct measure of activity and instead shows relative differences
601 between the left and right. Arguably the measure is more relevant to the processing of emotional
602 information if left and right areas are associated with inhibition of negative and positive stimuli
603 respectively.

604 Consistent with proposals of the capability model, the results did reveal a significant
605 difference in frontal asymmetry between the high and low-risk groups during the emotional Stroop
606 task. In particular, the low-risk group showed more leftward frontal activation compared to the high-
607 risk group. This suggests greater recruitment of left frontal areas during completion of a task that
608 requires inhibition of emotional information (although not specifically negative information as the
609 models of asymmetry suggest). It should be noted that this effect disappeared when individuals
610 reporting a past suicide attempt were removed from the analysis suggesting that the effect was driven
611 by this subset of participants. This is supported by the findings of Jollant et al. (2008) in which
612 asymmetrical differences were only found in individuals with a history of suicide attempt. Whilst
613 behavioral performance in the Stroop tasks may be able to distinguish those at risk of mild levels of
614 suicidal behavior (and would therefore be beneficial in identifying those at risk at an early stage) the
615 same may not be concluded for measures of alpha asymmetry. In addition, the findings for
616 asymmetry do not reflect performance in the task because those in the high-risk group were faster to
617 make accurate responses. Once again this may indicate the limitations of using asymmetry as a
618 marker because it reflects relative activity, it does not show whether an individual is putting more
619 effort overall into the task. A study by Kaiser et al. (2014) showed increased activation in the dorsal
620 anterior cingulate cortex and the posterior cingulate cortex for depressed patients when completing a
621 task requiring the inhibition of negative distracters. They proposed that increased activity
622 demonstrates that individuals are devoting more cognitive resources to directing attention away from
623 negative information, however frontal asymmetry does not provide information about such overall
624 patterns of activity.

625 Grimshaw and Carmel (2014) suggest that inhibition of different emotional stimuli is linked
626 to frontal alpha asymmetry and that individuals will exhibit leftward frontal cortical activity during
627 inhibition of negative stimuli, and rightward frontal cortical activity during inhibition of positive
628 stimuli. Although there is considerable evidence to suggest that frontal asymmetry reflects the
629 inhibitory control of emotions (e.g., Grimshaw & Carmel, 2014; Pérez-Edgar et al., 2013, see Gable
630 et al., 2015), the current findings provide only partial support for the asymmetric inhibition model.
631 Individuals were showing more leftward frontal activation during inhibition of negative stimuli as
632 predicted, however they did not show an increase in rightward frontal activity when inhibiting

633 positive stimuli. These results are similar to past findings (Grimshaw et al., 2014, Herrington et al.,
634 2010) that have shown that the links between cortical activity in the right dlPFC and control of
635 positive distractors are different to those between the left dlPFC and the control of negative
636 distractors. For example, Pérez-Edgar et al. (2013) conducted a study investigating frontal
637 asymmetry in relation to attentional bias and avoidance. Frontal EEG was measured from young
638 adults at rest and under a socially threatening situation (preparing to give a short speech about their
639 most embarrassing moment in public). Following this, participants performed a dot probe task in
640 which they had to respond to probes appearing in the same spatial location as emotional faces.
641 Results showed that although frontal alpha asymmetry in the resting state did not predict performance
642 in the dot probe task, there was a strong link between behavioral performance and frontal asymmetry
643 in the socially threatening condition. Specifically, an increase in rightward frontal alpha asymmetry
644 in this condition was associated with increased attentional bias to angry faces and avoidance of happy
645 faces but no association between leftward frontal asymmetry and emotions. This trend was replicated
646 by Grimshaw et al. (2014) who suggested that positive and negative stimuli may not exert the same
647 level of influence on frontal alpha asymmetry.

648 One unexpected finding from the alpha asymmetry analysis was the trend towards a negative
649 alpha asymmetry index in the neutral condition of the emotional Stroop task for the high-risk group.
650 This trend did not reach significance until participants reporting a past suicide attempt were removed
651 from the analysis, but the pattern of activation was markedly different to that of the other conditions.
652 The finding shows that the high suicidal behavior group had relatively lower leftward activation in
653 the neutral condition suggesting that they only recruited more left frontal areas when inhibiting
654 emotional but not neutral information. Again, this was not evidenced by differences in performance
655 in this task, providing limiting support for the use of asymmetry as a marker of suicidal behavior, and
656 showing that the exact role of the right and left PFC is not yet apparent with regards to the inhibition
657 of positive and negative distractors. Furthermore, Gable et al. (2015) proposed that frontal asymmetry
658 may reflect a wide range of cognitive mechanisms, not just inhibitory processes. For example, the
659 dlPFC is activated during tasks requiring task switching (Ambrosini & Vallesi, 2016), working
660 memory (Petrides, 2000), emotion regulation (for a review, see Ochsner et al., 2012), and attentional
661 disengagement (Vanderhasselt et al., 2011). All of these are implicated in vulnerability to
662 psychopathologies associated with frontal asymmetry (Snyder, 2013). These processes also require
663 the executive control components of updating and shifting in addition to inhibition (see Joormann &
664 Tanovic, 2015; Miyake et al., 2000; Schmeichel & Tang, 2015). Future work would benefit from
665 recording performance and activity in a wider range of neuropsychological tasks (i.e. Richard-
666 Devantoy et al., 2013).

667 The present results show some support for the association between attentional control, frontal
668 asymmetry, and suicidal behavior. However the findings do not fully support previous work and
669 therefore may indicate that other factors may be involved. In particular the current results may be
670 influenced by depression. A measure of depression was taken from all participants and analysis
671 showed clear differences between the two groups with the high-risk group reported significantly
672 higher symptoms of depression. It is well documented that depression is co-morbid with suicide (e.g.
673 Richard-Devantoy et al., 2013) and studies provide strong evidence for the links between depression
674 and executive dysfunction (e.g. Joormann & Tanovic, 2015) and depression and frontal asymmetry
675 (e.g. Schaffer et al., 1983). Consequently the present findings may be showing differences due to
676 depression, rather than suicide. However, researchers argue that the Stroop task is one of very few
677 measures of executive control that is able to identify differences between levels of depression and
678 suicidal behavior. Richard-Devantoy et al. (2013) conducted a meta-analysis to explore the findings
679 of studies investigating executive control in patients with mood disorders, patients with mood
680 disorders and reporting a past suicide attempt, and healthy controls. Across a number of tasks

681 designed to assess executive function they found that the patients performed worse than the healthy
682 controls, yet performance in the Stroop task was also able to distinguish suicide attempters from non-
683 attempters. Given the differences between the two groups in the color Stroop task, and the fact that
684 the high-risk group showed a specific attentional bias to suicide-related information, rather than a
685 general negativity bias (e.g. Gotlib et al., 2004), it is argued that the present study is assessing
686 suicidal behavior additional to the effects of depression.

687 Whilst it may be argued that this study assesses suicidal behavior, the results are limited due
688 to the use of the SBQ-R (Osman et al., 2001). This is a relatively simplistic single-item assessment
689 that groups a variety of quite distinct suicidal behaviors together. Many past studies in this field
690 utilize more in-depth assessments and often use a mixture of clinical measures and interviews.
691 Millner et al. (2015) express concern over the use of single-item assessments due to the increased
692 risk of Type I and II errors and after conducting an evaluation of such measures they found that many
693 were unable to capture the precise nature of suicide related thoughts and behaviors that were
694 reported. Whilst these limitations are acknowledged and future research would make use of more
695 detailed measures, it is important to note that the aim of this study was to measure the association of
696 attentional control, asymmetry, and suicidal behavior, rather than to measure whether deficits varied
697 according to the severity of symptoms. The SBQ-R has benefits in this case due to the relative ease
698 of administration.

699 Related to the measurement of suicide, future studies that explore variations in attentional
700 control due to severity of suicidal behavior may employ a correlation design to allow for the
701 prediction of suicide through measures of executive control. The small sample size and the relatively
702 limited spread of suicidal behavior in the current study supported the use of group comparisons but
703 arguably the findings have no predictive power. Given that past research focuses on more clinical
704 samples, and often uses older patients (e.g. Richard-Devantoy et al., 2015) one key feature of the
705 present work was to explore possible cognitive deficits associated with relatively mild symptoms of
706 suicidal behavior. By showing that suicidal behavior in a non-clinical population is associated with
707 deficits in attentional control (specifically difficulties inhibiting irrelevant information and an
708 attentional bias to emotionally-pertinent information) the current work expands on the past studies.
709 For instance, when comparing executive function in depressed suicide attempters, depressed non-
710 attempters, and healthy controls Keilp et al. (2013) supported the findings of Richard-Devantoy et al.
711 (2013) by showing that performance in a Stroop task was a “relatively independent marker of suicide
712 risk” (p546). In their study, deficits in attentional control (as evidenced through the Stroop task) were
713 found in all individuals with a history of suicide attempt. In the current study the comparison of
714 attempters and non-attempters was not possible as only 6 of those in the high-risk group reported a
715 past suicide attempt, yet performance in the Stroop task did identify those more vulnerable to suicidal
716 thoughts and behaviors. The findings demonstrate the effectiveness of the Stroop task in assessing
717 vulnerability to suicide in non-clinical samples and support its use in the intervention and prevention
718 of suicidal behavior.

719 Using EEG in a color Stroop task and an emotional Stroop task, the current study examined
720 whether measures of cognitive and neurological processing can be used to identify individuals at risk
721 of suicidal behavior. The study compared attentional control and frontal asymmetry between
722 individuals reporting high and low levels of suicidal behavior. Results showed that individuals
723 reporting higher levels of suicidal behavior are more likely to encounter difficulties in attentional
724 control and will struggle to disengage attention from suicide-related information. The findings
725 provide relatively limited support for the effectiveness of frontal asymmetry in identifying those
726 vulnerable to suicide, and in line with the capability model of Coan et al. (2006) general differences
727 were only apparent in the emotional Stroop task. By exploring executive dysfunction in a non-

728 clinical sample reporting relatively mild symptoms of suicidal behavior the current work lends
 729 support to those who advocate the use of the Stroop task in prevention of suicide, showing that its
 730 effectiveness extends beyond patient groups.

731

732 **5 References**

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962

963 **6 Conflict of Interest**

964 The authors declare that the research was conducted in the absence of any commercial or financial
965 relationships that could be construed as a potential conflict of interest.

966 **7 Author Contributions**

967 CT and EO conceived and designed the study. EO gained ethical approval and collected the data. CT
968 and EO analysed the data and drafted the manuscript.

969

970 **Figure Legends**

971 Figure 1: Accuracy (total correct) and RT (ms) in the color Stroop task. Error bars represent standard
972 error of the mean. Figure 1a shows the interaction between suicidal behaviour and congruency for
973 accuracy. Reduced accuracy to incongruent trials compared to congruent trials was more apparent for
974 the high-risk group. Figure 1b shows that participants with a high-risk of suicide were slower to
975 identify the color of the words (regardless of congruency) than the low-risk group. Response times
976 were also slower to incongruent trials compared to congruent.

977 Figure 2: Accuracy (total correct) and response times (ms) in the emotional Stroop task. Error bars
978 represent standard error of the mean. There was a speed-accuracy trade-off in this task whereby the
979 high-risk group responded faster (2b) but were less accurate (2a).

980 Figure 3: Measures of frontal alpha asymmetry (μV^2) in the emotional Stroop task showed a more
981 positive index for the low-risk group (a positive alpha asymmetry index reflects lower right front
982 cortical activity and a negative asymmetry index reflects lower left front cortical activity). The
983 asymmetry index was also higher for emotional trials compared to neutral. Error bars represent
984 standard error of the mean.