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The porin and the permeating antibiotic: a selective diffusion barrier in Gram-negative bacteria

Jean-Marie Pagès*, Chloë E. James* and Mathias Winterhalter†

Abstract | Gram-negative bacteria are responsible for a large proportion of antibiotic-resistant bacterial diseases. These bacteria have a complex cell envelope that comprises an outer membrane and an inner membrane that delimit the periplasm. The outer membrane contains various protein channels, called porins, which are involved in the influx of various compounds, including several classes of antibiotics. Bacterial adaptation to reduce influx through porins is an increasing problem worldwide that contributes, together with efflux systems, to the emergence and dissemination of antibiotic resistance. An exciting challenge is to decipher the genetic and molecular basis of membrane impermeability as a bacterial resistance mechanism. This Review outlines the bacterial response towards antibiotic stress on altered membrane permeability and discusses recent advances in molecular approaches that are improving our knowledge of the physico-chemical parameters that govern the translocation of antibiotics through porin channels.

Nosocomial

Hospital-acquired infection.

Conductance

A measure of translocated charges per unit time and voltage gradient.

Selectivity

The translocation efficiency of a channel for a particular type of ion with respect to another ion.

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Multidrug resistance (MDR) is frequently reported in clinical Gram-negative bacteria. This limits which therapeutic options are available and is a major cause of mortality when acquired as a nosocomial infection^{1,2}. Moreover, no truly novel active antibacterial compound is currently in clinical trials. Thus, it is important to decipher the molecular basis of the MDR mechanisms^{3–5}. MDR is prevalent in key Gram-negative clinical pathogens, such as *Escherichia coli*, *Salmonella* spp., *Klebsiella* spp., *Enterobacter* spp., *Campylobacter* spp., *Acinetobacter* spp. and *Pseudomonas* spp. Three major bacterial strategies have emerged for the development of drug resistance: the membrane barrier limits the intracellular access of an antibiotic; the enzymatic barrier produces detoxifying enzymes that degrade or modify the antibiotic; and the target protection barrier impairs target recognition and thus antimicrobial activity⁶. These mechanisms can act simultaneously in clinical isolates, generating a high level of resistance. There are two different aspects to transport systems across the bacterial membrane — influx and efflux. Here, we focus on the influx of antibiotics, as the efflux has been extensively discussed in recent reviews^{5–8}.

The outer membrane is the first line of defence for Gram-negative bacteria against toxic compounds⁹. This barrier comprises a lipid bilayer that is impermeable to

large, charged molecules. Influx is largely controlled by porins, which are water-filled open channels that span the outer membrane and allow the passive penetration of hydrophilic molecules^{9–11}. Different types of porins have been characterized in Gram-negative bacteria and classified according to their activity (non-specific or specific channel or selective pore), their functional structure (monomeric or trimeric) and their regulation and expression^{9–14}.

E. coli produces three major trimeric porins — *OmpF*, *OmpC* and *PhoE* — and pioneering studies with these porins constitute the foundation of our current knowledge of many other porins^{9,10}. Thus, these outer membrane proteins (OMPs) (and their homologues in other Gram-negative bacteria) are termed classical porins⁹. Despite their ‘non-specific’ nature, the members of this family can be classified according to a range of selective filters with respect to the charge and size of the solutes and charges in key regions of the porin channels: the *OmpF* and *OmpC* families show a slight preference for cations, whereas *PhoE* selects inorganic phosphate and anions^{9–12}. Porins have been purified and reconstituted in various experimental systems (for example, liposomes and planar membranes) to analyse their physico-chemical parameters, such as conductance, selectivity and voltage gating¹⁰.

Table 1 | Porin modification in Gram-negative bacteria

Bacteria	Characterized porins	3D structure of porins	Porin alteration in clinical isolates
<i>Enterobacter cloacae</i> , <i>Enterobacter aerogenes</i>	Omp36*, Omp35 [‡]	None	Omp36 [§] , Omp35 [§] , Omp 36
<i>Escherichia coli</i>	OmpC*, OmpF*, OmpN [¶] , PhoE	OmpC ³¹ , OmpF ³⁰ , PhoE ³⁰	OmpC [§] , OmpF [§] , OmpC
<i>Klebsiella pneumoniae</i>	OmpK36*, OmpK35 [‡] , OmpK37 [¶]	OmpK36 ³²	OmpK35 [§] , OmpK36 [§]
<i>Morganella morganii</i>	Major porin (36 kDa)	None	Major porin
<i>Neisseria gonorrhoeae</i>	Major porin	None	Major porin (PorA [§] , PorB [§])
<i>Pseudomonas aeruginosa</i>	Porins, OprD	OprD ³³	Porins [§] , OprD [§] , OprD
<i>Salmonella enterica</i> subsp. <i>enterica</i> serovars <i>Typhimurium</i> and <i>Enteritidis</i>	OmpC*, OmpF [‡] , OmpD	None	Major porins (OmpC [§] , OmpF [§] , OmpD [§])
<i>Serratia marcescens</i>	Omp1*, Omp2 [‡]	None	Major porins (Omp1 [§] , Omp2 [§])
<i>Shigella dysenteriae</i>	None	None	Major porins (OmpC [§] , OmpF [§])

*OmpC family. †OmpF family. ‡Identification of porin loss in resistant isolate. §Identification of porin mutation in resistant isolate. ||Quiescent porin family.

General diffusion porins can be distinguished from the specific and ligand-gated porins by their poor substrate selectivity and their high probability of presenting an open conformation in the absence of any specific substrates^{9,10}. Most porins that are involved in antibiotic transport belong to the classical OmpF or OmpC subfamilies. However, there are exceptions, such as OprD of *Pseudomonas aeruginosa* and porins from *Acinetobacter baumannii* and *Neisseria* spp. For more examples, consult the [Transport Protein Database](#) (see Further information). Many aspects of porin activity and physiology have previously been discussed in various reviews^{9–15}.

β-lactams and fluoroquinolones are the prominent groups in our current antibacterial arsenal¹⁶ and their respective activities are strongly affected by the influx barrier in clinical isolates (TABLE 1). The porin channel is the entry pathway for both β-lactams and fluoroquinolones, which block the synthesis of peptidoglycan and disrupt the activity of gyrase and topoisomerase, respectively, and induce a bactericidal cascade^{16,17}. In this Review, we explore the recent clinical evidence for distinct bacterial strategies of porin modification to limit β-lactam uptake (FIG. 1): an exchange in the type of porin expressed; a change in the level of porin expression; and a mutation or modification that impairs the functional properties of a porin channel. A possible emerging mechanism, the synthesis of pore-blocking molecules, is also discussed (BOX 1). The clinical prevalence of these resistance strategies highlights the importance of deciphering the antibiotic influx process. Therefore, we also focus on recent state of the art techniques that

allow the quantification of antibiotic transport and the understanding of molecular dialogue between the porin channel and the antibiotic.

Role of porins in antibiotic resistance

Some Gram-negative bacteria, such as *P. aeruginosa* and *A. baumannii*, possess an innate low susceptibility to β-lactam molecules, a characteristic that is associated with reduced outer-membrane permeability⁹. In *P. aeruginosa*, this reduced permeability is due to the low number of porins and their distinct physico-chemical properties compared with the porins of the Enterobacteriaceae^{9,18–20}. In other Gram-negative species (such as *Citrobacter*, *Enterobacter*, *Escherichia* and *Klebsiella*), β-lactam susceptibility is closely related to the presence of non-specific porins that belong to the OmpC and OmpF groups^{9,15}. Several clinical studies have reported a modification of the porin profile in antibiotic-resistant isolates: resistant Enterobacteriaceae can exhibit a shift in the type of porin they express, a reduction in the porin expression level or the presence of a mutated porin (TABLE 1). These clinical strains, isolated during patient antibiotherapy, exhibit a characteristic decrease in cephalosporin and carbapenem susceptibility. An altered porin phenotype is also commonly associated with the expression of degradative enzymes, such as β-lactamases and cephalosporinases, which efficiently confer a high level of β-lactam resistance^{14,21}. It is important to consider the clinical evidence for the different strategies that are involved in reducing influx across the outer membrane. By exploring each mechanism in turn we illustrate a bacterial adaptive response to antibiotherapy that leads to MDR.

Alterations in porin expression

Porin exchange. A study of *Klebsiella pneumoniae* strains collected from different patients undergoing treatment indicated that the isolates exhibited modified outer-membrane permeability²². In most of these isolates, OmpK35, which belongs to the OmpF porin group and has a large channel size, was replaced with OmpK36, which belongs to the OmpC porin group and possesses a smaller channel size. This observation suggests that a drastic modification of the porin balance occurs during antibiotherapy. This is of particular interest owing to the differential β-lactam susceptibility reported in these *K. pneumoniae* porins. The level of susceptibility to β-lactams, including cefepime, cefotetan, cefotaxime and ceftiprome, in strains expressing OmpK35 is 4–8 times higher than that conferred by OmpK36 (REF. 23). The clinical isolates collected after antibiotic treatment exhibited an altered porin phenotype, with a simultaneous overexpression of an AcrAB efflux pump for extrusion of incoming antibiotic molecules. Together, these modifications severely decrease the intracellular drug concentration²².

Several studies have observed that there is a relationship between the balance of porin expression and β-lactam susceptibility in clinical *K. pneumoniae* isolates^{24–30}. A similar phenomenon has been reported in a patient infected with *Salmonella enterica* subsp. *enterica* serovar Typhimurium. All isolates collected

Voltage gating

Effect observed for some channels whereby a high voltage gradient causes a sudden closure of the ion current. The molecular origins remain unsolved.

β-lactam

A major family of antibiotic molecules.

Antibiotherapy

A therapy that uses antibiotics to treat infections.

Cephalosporins and carbapenems

Two subclasses of the β-lactam family.

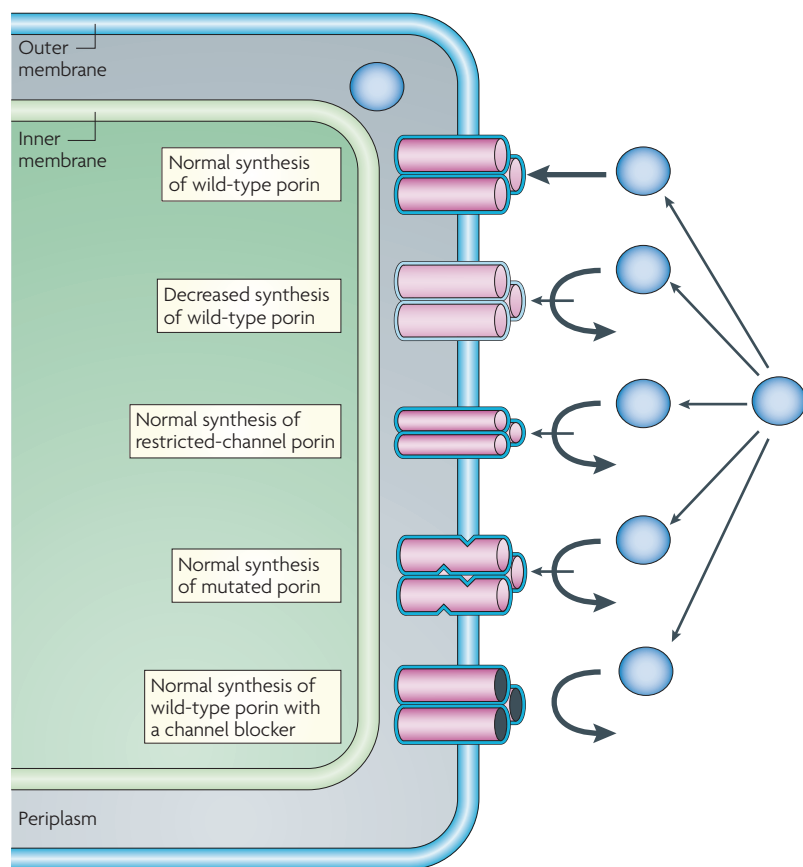


Figure 1 | Multidrug resistance mechanisms associated with porin modification. Shows the various resistance mechanisms that are associated with porin modification. The β -lactam molecules and porin trimers are represented by blue circles and pink cylinders, respectively. The thickness of the straight arrows reflects the level of β -lactam penetration through porin channels. The curved arrows illustrate the uptake failure that occurs with: a change (decrease) in the level of porin expression; an exchange in the type of porin that is expressed (restricted-channel porin); and mutation or modification that impairs the functional properties of a porin channel (mutated porin). The effect of pore-blocking molecules (black circles) is shown at the bottom of the figure.

before the start of antibiotherapy were susceptible to cephalosporins (cephalexin, cefazolin and ceftiofur)³¹. However, only days after the start of cephalosporin treatment, a cephalosporin-resistant strain was isolated from a wound drain sample. This direct clonal descendent of

the original pre-therapy strain exhibited complete resistance to all cephalosporins. No significant increase in β -lactamase activity was observed. The OmpC–OmpF balance is strongly regulated by different genetic control systems, such as EnvZ–OmpR and RNA anti-sense regulators (MicF and MicC)^{6,9–10,12,13}. *In vitro*, osmoregulation of porin synthesis in the susceptible parental strain was normal: only OmpC-type porins were expressed in high osmolarity medium and both OmpC- and OmpF-type porins were detected in low osmolarity medium. By contrast, the resistant isolate expressed only OmpF-type porins in low ionic strength conditions, and the synthesis of OmpC- and OmpF-type porins was fully repressed at high osmolarity, mimicking the conditions that are present *in vivo*³¹.

These observations pinpoint a key step in bacterial adaptation: expression of the OmpC porin with its restrictive channel is generally favoured *in vivo*, mainly owing to conditions of high osmolarity in patients. This regulation naturally limits the entry of large, charged molecules. The different diffusion rates of cephalosporins through OmpC and OmpF has been shown using liposome swelling assays³². Continued exposure to sub-inhibitory antibiotherapy selects for step by step porin-expression modifications, resulting in further reduced influx at each stage¹⁴. Complete impermeability to β -lactams is achieved through total loss of the OmpC porin in resistant isolates and can represent an ‘extreme step’ in the porin adaptive response. This sacrifice can result in severe loss of bacterial fitness owing to restricted entry of nutrients, but can enable survival in the face of intensive and continuous antibiotherapy^{6,33}. A rapid change in the balance of porin expression in response to antibiotic treatment confers a noticeable advantage to the pathogen compared with the commensal microflora that is susceptible to β -lactams.

A possible option for Enterobacteriaceae to maintain fitness following the loss of OmpC involves a further possible porin exchange to exploit a quiescent porin³⁴. This novel porin subfamily (structurally related to the OmpC and OmpF subfamilies), termed OmpN-type porins, comprises *E. coli* OmpN, *K. pneumoniae* OmpK37 and *Salmonella enterica* subsp. *enterica* serovar Typhi (*S. typhi*) OmpS2. It has been demonstrated that when OmpK37 is expressed in a porin-null *K. pneumoniae* strain, a strong decrease in β -lactam susceptibility

Box 1 | Effects of porin blockers

Polyamines are polycationic molecules that modulate the activity of various ion channels⁸³. Among these, spermidine, cadaverine and putrescine are produced by bacteria⁸³. So far, few data are available concerning the *in vitro* effects of polyamines on the diffusion of antibiotics through the porins of *Escherichia coli* and *Enterobacter cloacae*. Spermine has been reported to inhibit OmpF channel properties^{83–86}, to protect *E. coli* from colicin action and to decrease the diffusion of norfloxacin and cefepime through OmpF⁸⁷. In addition, cadaverine has been shown to reduce ampicillin and cephaloridine susceptibility in *E. coli* by promoting an inhibition of ionic flux through cationic porins^{85,88}. Thus, inhibition of porin transport by excreted cadaverine might represent a mechanism that provides bacterial cells with the ability to survive acid stress and nitrosative stress^{89–91}. In the presence of antibiotics that use porins to cross the membrane barrier, cadaverine might play the part of pore modulator and reduce the penetration rate of these antibacterial agents. The conditions found in the intestinal tract favour the synthesis of polyamines and can be exploited by the enterobacterial pathogens that colonize this site. This adaptive response might function to block the transport of toxic compounds, such as bile acids and β -lactam antibiotics.

Bacteraemia

A medical condition in which bacteria enter the bloodstream.

is observed compared with the susceptibility that is observed when OmpK35 or OmpK36 is synthesized at the same level³⁵. This has so far only been illustrated *in vitro* and, although the conditions required for native expression of these quiescent porins are not yet known, it seems that the structural organization of the internal loop 3 of OmpN can constitute a selective filter for charged molecules. Expression of these porins would allow normal nutrient acquisition, but the presence of a bulky tyrosine residue, located inside the pore eyelet, could restrict the channel size and impair the penetration of large β -lactams, such as cefotaxime or cefoxitime³⁵.

Decreased porin expression. A study reported the effect of imipenem on *Enterobacter aerogenes* strains collected from four patients during the course of imipenem therapy³⁶ (2–9 weeks). The emergence of resistant variants occurred rapidly, within 5 days of the start of treatment. Molecular epidemiological analysis indicated that the resistant variants evolved from an original susceptible *E. aerogenes* strain that belonged to the prevalent clone³⁷. In addition, restoration of imipenem susceptibility was observed in isolates that were recovered a few days after the treatment ended. This suggests that an efficient regulation mechanism is involved in porin expression³⁶. An association was reported between the presence of the major Omp36 porin (OmpC homologue) and the β -lactam susceptibility of the various isolates that were collected during this study. The absence of Omp36 always correlated with β -lactam (cephalosporin and imipenem) resistance and imipenem susceptibility³⁶. These data clearly show that *in vivo* antibiotic treatment can select for the emergence of a resistant phenotype that is associated with porin loss from an original susceptible isolate. The simultaneous detection of an efflux pump in these resistant isolates suggests that a complex process regulates both influx and efflux^{36,38}. In addition, up to 6% of highly β -lactam-resistant *E. aerogenes* isolates collected over a 1-year period lacked porins, indicating the importance of porin regulation that is associated with β -lactamase production in the emergence of β -lactam-resistant strains³⁹.

Thiolas *et al.*⁴⁰ found a correlation between a successive antibiotherapy, imipenem followed by colistin, and the isolation of *E. aerogenes* strains with sequential adaptive modifications. A decrease in porin production was detected in the resistant isolates that were collected during imipenem therapy, and a lipopolysaccharide alteration associated with porin recovery was reported in the isolates that were obtained during colistin therapy⁴⁰. A similar scenario was reported in the case of a patient who presented with bacteraemia due to *Enterobacter cloacae* and was treated with imipenem and amikacin over 3 weeks⁴¹. Two weeks after the cessation of antibiotherapy, a resistant strain was isolated. Characterization of the susceptible and resistant isolates indicated that transcription of the major *E. cloacae* porins was severely decreased and that the expression of an inhibitor-sensitive efflux system was increased in the resistant isolate⁴¹. This observation suggests that a genetic regulation cascade through the Mar operon is involved in porin expression. This regulon, which was described in Enterobacteriaceae, comprises a repressor (*marR*) that binds to an operator (*marO*) upstream of an activator^{5–7} (*marA*). De-repression of *marA* in response to several chemical and antibiotic stresses triggers a cascade of events that results in global control of membrane permeability by the downregulation of porin synthesis and overexpression of efflux pump components^{5–8,15,42}. In *K. pneumoniae*, several isolates have an insertion sequence (such as IS5 and IS26) in the OmpK36 and OmpK35 genes^{43–45}. This insertion, which abolishes porin expression, effects an efficient bacterial response to β -lactam stress.

Mutations in porins. X-ray crystallography has resolved the intricate structural details of several porins (reviewed in REFS 10,11). The internal loop 3 forms a constriction at about half the height of the β -barrel. This 'eyelet' governs channel size and ion selectivity^{10,11}. In the eyelet, several positively charged amino-acid residues (the arginine cluster) on one side of the lumen face negatively charged residues on the opposite side, creating a strong electrostatic field that influences translocation through the porin (BOX 2). The conserved internal loop 3 (REF. 46) therefore constitutes a crucial region of the enterobacterial porin channel and has a major influence on the influx of antibiotics. Genetic mutations in this loop can alter the levels of susceptibility to antibiotics that translocate porin channels. In this section, we focus on naturally occurring porin mutations that have been detected in clinical isolates.

Low *et al.*⁴⁷ performed a molecular study of several *E. coli* strains that were collected during long-term antibiotherapy. Over a period of 2 years, a complex treatment regimen, comprising the successive use of several antibiotic classes (including fluoroquinolones, cephalosporins and carbapenems), was used. Seven isolates from blood samples or liver abscesses were collected at different stages of the treatment. These isolates exhibited progressively increased levels of antibiotic resistance, and all harboured the same two mutations (D18E and S274F) in the OmpC porin, which might influence antibiotic

Box 2 | Porin structure and activity

The crystal structures of porins from *Rhodobacter capsulatus* and *Escherichia coli* indicate the existence of a conserved 16-strand anti-parallel β -barrel structure for each monomer that contains a long internal loop, which is bent inside the pore¹¹. OmpF of *E. coli* is the best studied porin, both functionally and structurally. Its crystal structure⁶⁶ enabled better understanding of channel function properties, such as solute-exclusion limit and biological activity^{9–11}. Using the three-dimensional (3D) structure, several OmpF mutants have been constructed to examine the role of specific residues during assembly and function. In the pore constriction area (eyelet region), various mutagenesis and electrophysiological studies have focused on the positive cluster (Lys16, Arg42, Arg82 and Arg132) and the negative face (Asp113, Glu117 and Asp121), which are important for the electrostatic field that governs the diffusion of charged molecules^{9,10}. The recent 3D structure of OmpC⁶⁷, the major porin that is detected in clinical Gram-negative bacteria, indicates that the general organization of the channel is well conserved between OmpF and OmpC, except that the respective pore lining is altered at the extracellular entrance^{66,67} (located just before the constriction region). The comparison between OmpF, OmpC activity and the solved structure of the major *Klebsiella pneumoniae* porin⁹², OmpK36, defines the two groups of major enterobacterial porins, OmpC- and OmpF-class porins (TABLE 1).

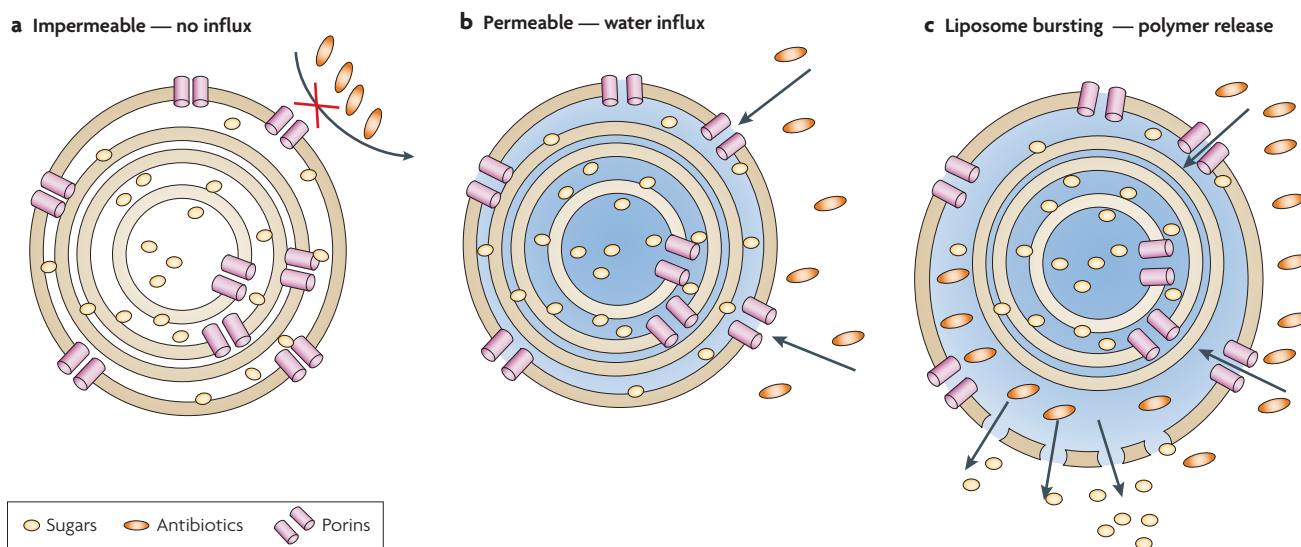


Figure 2 | Liposome swelling assay. a | Multilamellar liposomes are prepared in the presence of a polymer (indicated by a yellow circle)^{63–65}. A small aliquot of liposomes is rapidly mixed in a polymer-free solution that contains the molecule of interest and that has the same osmotic pressure. If the molecule (for example, an antibiotic) cannot permeate, the optical density remains unchanged. **b** | If the molecule can permeate, the permeation will create an osmotic gradient and water will swell the liposome. **c** | Eventually, the liposome will burst and release the polymer. This will lead to a decrease in optical density. The decrease in optical density allows researchers to draw conclusions about the permeation rate.

influx. Two final and most resistant isolates, which were obtained after carbapenem (meropenem and imipenem) treatment, showed a severe reduction in OmpC synthesis compared with the first five isolates⁴⁷. In addition, the few OmpC porins that were produced by these two isolates harboured an additional R124H substitution in the region that lines the pore, which causes an alteration in the Arg cluster positioned in the pore eyelet⁶⁷. Comparison of the nucleotide sequences of *ompC* in the 7 isolates indicated that a common sequence modification (100 base changes compared with *E. coli* K-12 *ompC*) was preserved. Epidemiological typing of these isolates supports the idea that they evolved from a single original infecting strain⁴⁷.

A key porin mutation has also been detected in *E. aerogenes* clinical isolates that have high-level β -lactam resistance⁴⁸. Molecular and functional analyses characterized this G112D substitution, which is located inside internal loop 3 (REF. 49). This substitution is similar to the G119D mutation, previously obtained by mutagenesis of *E. coli ompF*, which creates a major protrusion into the channel lumen, causing strong steric hindrance in the channel and a drastic reduction in β -lactam susceptibility^{50,51}. The Omp36 G112D mutation severely impairs β -lactam diffusion through the channel, and the kinetics of cefepime uptake are greatly reduced in *E. aerogenes* isolates that carry this mutation^{52,53}. Electrophysiological changes in the G112D porin channel, compared with wild-type *E. aerogenes* Omp36, are similar to those observed with the *E. coli* OmpF G119D mutation^{49,50}.

Currently, the OmpC and Omp36 porin mutations that were detected in clinical strains isolated after antibiotic treatment are the only examples of direct *in vivo* selection of a functional porin mutation in the Enterobacteriaceae. Nevertheless, the few molecular

studies that have been carried out on clinical strains suggest that the frequency of such mutations might be underestimated. In addition, with the increasing use of antibiotics, such as carbapenems, that are specifically designed to resist degradation by the bacterial enzymatic barrier, the pathogen must adopt a novel response to restrict intracellular antibiotic concentration. Consequently, this type of mutation, located inside the constriction area of the channel, is likely to become more common in the future.

Several *Neisseria gonorrhoeae* porin mutations have been linked to penicillin and tetracycline resistance. Sequence analyses of the *por* gene have identified amino-acid substitutions (G120D, A121D and G121K) in the putative internal loop 3 in various resistant strains^{54,55}. Other mutations at these positions have been identified in resistant clinical isolates from a separate study, emphasizing the importance of these residues in the penicillin-resistant phenotype^{54,56}. Substitution of these amino-acid residues using targeted mutagenesis has clearly shown that they have a role in antibiotic diffusion^{55,57}.

Both clinical and *in vitro* studies have reported that aberrant or modified *P. aeruginosa* OprD porin is linked to carbapenem resistance, with or without the production of a carbapenem hydrolyzing enzyme^{58,59}. In addition, several mutations that confer reduced carbapenem susceptibility have been reported in the *oprD* gene⁶⁰. The OprD crystal structure has recently been solved⁶¹. Several OprD residues belonging to loops 3 and 7 and a number of backbone residues create an asymmetric charge distribution and participate in the formation of the pore-constriction zone. Some mutated residues have previously been reported in carbapenem-resistant isolates and are located in or near loops 6 and 7 (REFS 60,61). As the constriction zone constitutes the region of the

porin channel that has the greatest influence on the diffusion of antibiotics, it is easy to understand how mutations in loop 7 affect antibiotic susceptibility. Further analysis is required to understand why mutations in other regions might have this effect. Interestingly, the main cellular function of OprD is the passive uptake of basic amino acids as well as of small peptides²⁰. In addition, overproduction of efflux pumps and other resistance mechanisms, together with the decrease of OprD expression, are involved in high-level imipenem and carbapenem resistance⁶².

The clinical data discussed here highlight the correlation between antibiotic susceptibility and outer-membrane permeability. The level of antibiotic diffusion through channels depends on their quantity and structure. It has become clear that the transport of β -lactams or fluoroquinolones occurs by passive diffusion through porins, but also involves specific interactions with the porin channel. The pathway of the antibiotic molecule through the channel is of crucial importance for the intracellular accumulation of antibacterial drugs. Electrophysiological approaches are now being applied to decipher the interactions between the antibiotic and exposed residues inside the channel and aid the design of new antibiotic molecules with improved penetration capacities to circumvent the permeability barrier that resistant isolates have developed.

Physico-chemical basis of porin transport

The outer membrane is the first barrier against the environment, and bacteria can modulate its permeability by modifying the number of channels and surface properties. To understand how to overcome the bacterial adaptive response to antibiotics, physical tools have been developed to delineate the transport pathways of antibiotics through porins, providing parameters that could be used to develop new antibiotics. Surprisingly few physico-chemical measurements have been performed to characterize the permeation of substrates across membrane channels. So far, porin permeation has been characterized qualitatively using liposome-swelling assays^{63–65} (FIG. 2). Only recently has it become possible to obtain quantitative electrophysiological measurements using reconstituted artificial membranes¹⁰. In this way, several antibiotics have been screened for diffusion properties, and putative pathways either across the lipid membrane or through a particular channel have been deduced (BOX 3).

High-resolution structures are now available for some bacterial porins, including OmpF and, more recently, OmpC^{66,67}. Inspection of the porin structure suggests that the permeation of molecules through the channel is driven by molecular interactions with the surface rather than by free diffusion^{68,69}. The first evidence for facilitated diffusion through a binding site was found in maltoporin, a maltose-specific channel from the outer membrane in *E. coli*^{70–73}. A closer look at the OmpF structure revealed that it possesses a possible affinity site for ampicillin molecules (FIG. 3). The acidic groups of ampicillin have been predicted to interact

with a cluster of three Arg residues (the Arg cluster) in the OmpF constriction zone, as mentioned above. The ammonium group on the opposite side of the molecule has been suggested to interact with glutamate. In addition, the phenyl group is attracted to a hydrophobic pocket^{66,68}. This observation has led to the development of molecular modelling approaches to elucidate the possible pathways of antibiotics through the OmpF channel^{69,74,75}. Here, we describe the biophysical methods that can be used to estimate translocation rates through porins.

Molecular mechanisms of diffusion through porins.

To explore the influence of antibiotic–porin interactions on the diffusion rate (permeation), the flux through a channel in the absence of any interaction can be estimated. For this purpose, the porin is considered to be a perfect cylinder with no interactive sites. The influx J (substrate molecules per second) through a non-interacting cylinder is calculated using Equation 1.

$$J = -DN_A (\pi a^2/l) \cdot \Delta c \quad (1)$$

In Equation 1, D is the diffusion constant of the particle, $N_A = 6.023 \times 10^{23}$ per mole, the Avogadro constant, and the bracket contains the geometrical factor for a cylinder with ‘ a ’ as the pore radius and ‘ l ’ as the approximate channel length. The driving force for the flux is the concentration gradient Δc . Inserting approximate values of $D = 10^{-9}$ m² per s, $a = 0.5$ nm, $l = 4$ nm and $\Delta c = 1$ mM gives a flux of about 10^5 molecules per s and corresponds to the fastest possible permeation (diffusion limit). However, the antibiotic molecules have about the same dimensions as the channel, reducing the effective pore size and thus the flux to nearly zero.

To understand permeation on a molecular level, one must account for the molecular interactions (dialogue) that take place between the channel and the translocating molecule. This dialogue provides the molecular specificity and it is chemical structure, which exert the dominant influence on permeation pathways (and not the molecules size). The current understanding of the molecular mechanism of antibiotic permeation is based on the available high-resolution crystal structure of OmpF^{66,68,69}. This structural knowledge, together with an extensive collection of relevant mutants, now offers a unique opportunity to model and modify the surface of the OmpF channel and to compare the theoretical transport pathways with flux experiments.

Fluctuations in the ion current that pass through porins that have been reconstituted into artificial membranes occur when the antibiotics penetrate into the channel and report the occupancy of a ‘binding pocket’ inside the channel. An analysis of the equilibrium fluctuation allows the calculation of chemical rates for association and disassociation with the binding pocket (or interaction site) inside the channel. Determination of the on and off rates allows the net permeation rate to be obtained. Pioneering studies on maltose permeation

Permeation

Diffusion through a membrane, either through the lipid or through channels or carriers.

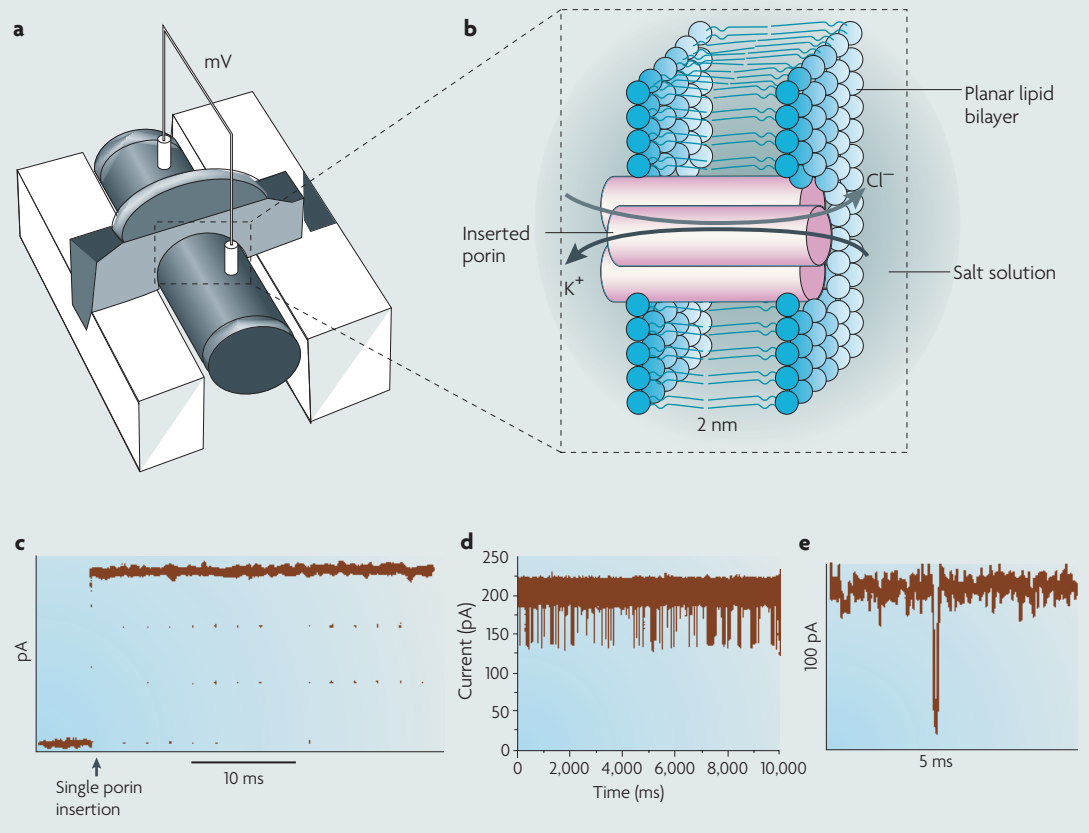
Box 3 | Electrophysiology studies

The experimental method of choice for characterizing channel-forming proteins is to measure conductance through purified porins that are re-constituted into artificial membranes. For example, in the figure, part **a**, two symmetrical compartments (cuvettes) of a Teflon chamber, separated by a thin Teflon film that contains a round aperture of 60–80 μm in diameter are shown. Across this aperture a lipid membrane is formed. Single-channel porin insertion is achieved by adding a porin stock solution. Injection into the cuvette corresponds to a dilution of the detergent micelles and some porins will insert into the membrane. Choosing the appropriate concentration allows single protein insertion, and multiple insertions can be avoided by flushing the cuvette with fresh buffer. Application of a transmembrane electric field allows the characterization of the electrical properties of the membrane and later of the reconstituted channel. Insertion of a channel gives rise to a measurable jump in current⁶⁸. Currently, this technique can be miniaturized and automated⁹³.

An external voltage is applied to the system and will cause an ion flux through inserted porin channels (see the figure, part **b**). The strength of the ion flux under different conditions (salt, concentration, pH and external voltage) will reflect the channel's structure and functional properties, such as ion selectivity (for example, the ratio of potassium to chloride permeability). Conductance measurements suggest that OmpF and OmpC have a pore size of almost 1 nm, and this was confirmed by high-resolution structure analyses^{66,67}.

Addition of antibiotics or sugar molecules on one (or both) side of the bilayer causes them to diffuse towards the channel. High-resolution ion-current fluctuation analysis allows detection of the passage of single molecules and thus characterization of facilitated permeation of sugars and antibiotics^{68–70}. Addition of millimolar concentrations of ampicillin to the system can cause fluctuations in the ion current. A typical recording is shown in the figure, parts **c–e**. The permeation of ampicillin into the channel causes a short interruption (~1 ms) of the ion conductance through OmpF. These fluctuations reflect interactions between the antibiotic molecules and the channel and can be resolved on a single-molecule level. They correspond to the occlusion of one monomer channel of the trimeric porin during a fraction of a millisecond. Increasing the ampicillin concentration causes a corresponding increase in the number of fluctuations observed, whereas the average time of channel blockage by antibiotic molecules is independent of the antibiotic concentration. Analysing the frequency of such fluctuations allows us to obtain the kinetic rates for interaction with the affinity site in the channel^{68,70}.

In the figure, part **a** shows the artificial membrane apparatus that is used to analyse the channel properties of porins, part **b** shows an expanded view of a single pore within the artificial membrane, part **c** shows increased conductance following addition of a channel to the artificial membrane and part **d** shows conductance through a channel. The average current is 200 pA (1 M KCl; transmembrane potential of 50 mV). Antibiotic molecules (5 mM ampicillin) permeate inside the channel and block the entrance of ions. Part **e** shows one blocking event (about 1 ms), and the amplitude corresponds to one-third of the trimeric conductance. A statistical analysis reveals the on and off rate of the permeation. Figure courtesy of T. Mach, Jacobs University, Bremen, Germany.



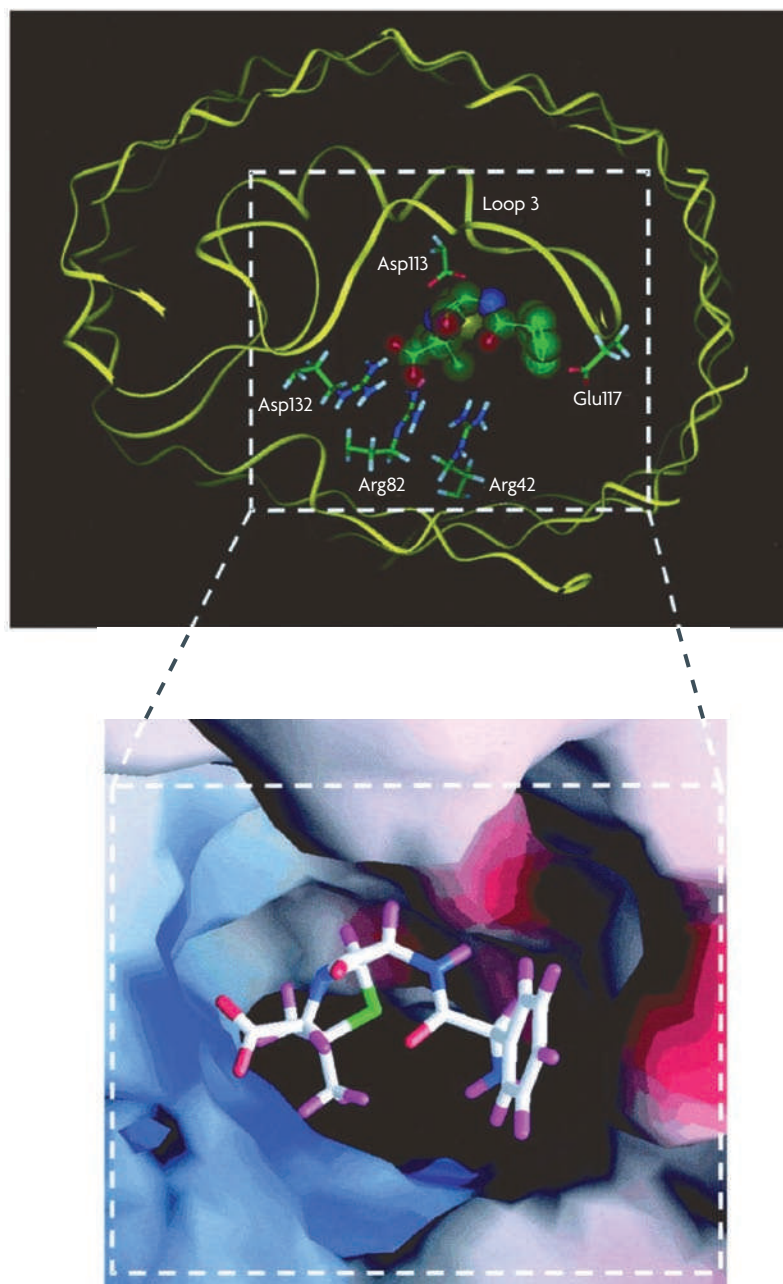
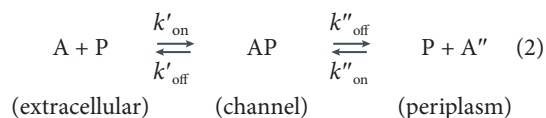


Figure 3 | Antibiotic docking to porin channels. A model for ampicillin docking in the narrowest part of the OmpF monomeric pore (top view). The zwitterionic ampicillin molecule simultaneously interacts with the positively and negatively charged residues at the constriction zone that is formed by the β -barrel wall and internal loop 3. On one side, the carboxylate ampicillin group is attracted to the cluster of positively charged residues in the pore, and on the opposite side the ammonium ampicillin group is attracted to the carboxylate of Glu117. In the top panel, the skeleton of OmpF is shown in a ribbon representation in yellow. Key residues inside the pore are highlighted with stick representation. The antibiotic molecule is shown as a transparent Corey–Pauling–Koltun model. Green spheres are carbon atoms, red spheres are oxygen atoms, blue spheres are nitrogen atoms and the yellow sphere is a sulphur atom. For clarity, hydrogen atoms of ampicillin are not shown. In the bottom panel, the distribution of the electrostatic potential of the solvent-accessible molecular surface of the OmpF constriction zone complexed with ampicillin is represented with the GRASP program⁶⁹. Red zones are negative potentials and blue zones are positive potentials. The ampicillin molecule is represented as a stick model. White sticks are carbon atoms, red sticks are oxygen atoms, blue sticks are nitrogen atoms, the green stick is a sulphur atom and violet sticks are hydrogen atoms^{69,70}. Reproduced, with permission, from REF 69 © (2002) National Academy of Sciences.

through maltoporin (LamB) led to the development of an asymmetric single-binding-site model^{70–73}. The translocation is described by Equation 2.



The symbols P and AP indicate a channel state that is unoccupied or occupied by a bound antibiotic molecule, respectively; A and A'' indicate free extracellular and periplasmic substrate, respectively.

It is interesting to note that in the case of maltoporin, an asymmetry in translocation was observed⁷¹. However, for simplicity, we only describe the symmetric model only. Following the previous derivation we can calculate the flux^{70,74} with Equation 3.

$$J = [k_{\text{on}} / (2 + K \Delta c)] \cdot \Delta c \quad (3)$$

K is the apparent binding constant when an antibiotic is added to one side of the membrane and k_{on} is the association rate for the substrate with the binding site. At low antibiotic concentrations (non-saturating conditions), the net flux is proportional to concentration gradient Δc and to the association rate. It should be noted that for strong binding, permeation is limited by the dissociation rate and for a high concentration gradient (Δc) the flux is independent of the concentration gradient. More sophisticated models have been suggested, and these allow the inclusion of detailed binding parameters^{76–78}. However, with the limited accuracy of the measurements and the poor knowledge of molecular parameters, this simplified model might satisfy most of the current needs. Inserting experimental values — for example, for ampicillin permeating through OmpF $k_{\text{on}} \approx 10^4$ [Ms]⁻¹ (moles per litre per second) and $K \approx 1$ [Ms]⁻¹ — provides about 10 molecules per second per monomer under a 1 mM concentration gradient. It is important to note that diffusion will bring about 10^5 molecules into the vicinity of the channel entrance, but only 0.1% of these molecules are effectively translocated. Inspection of Equation 3 shows that the permeation is limited by the on rate only and is about 100 times slower than an optimized solution as the maltose permeates through a single maltoporin channel.

Molecular modelling studies of β -lactam translocation through OmpF. The recent availability of high-resolution porin structures combined with a new generation of powerful computers and new software has made it possible to model transport pathways for antibiotic molecules^{68,79}. Molecular dynamic simulations allow a view of the dynamics of a number of processes at an atomic level by integrating Newton's equation of motion. The currently available computation time limits the system to about 100,000 molecules and a few nanoseconds. Clearly, permeation of antibiotics is far beyond this range. Metadynamics modelling is an adaptation that is designed to improve the sensitivity of these model systems. It can capture 'rare' events, such as an antibiotic molecule encountering and entering a porin channel, and is based

Metadynamics modelling

A method to simulate rare events on the basis of the choice of the relevant variables of a process and on the acceleration of these variables.

on an algorithm that limits backward motion. Ceccarelli and co-workers⁷⁹ applied this new algorithm to elucidate possible pathways of ampicillin through the OmpF channel. In a recent publication the pathways of five different β -lactams (ampicillin, amoxicillin, piperacillin, azlocillin and carbenicillin) through OmpF were evaluated⁶⁸. The model predicts that azlocillin and piperacillin are too bulky to permeate through the channel, whereas ampicillin and amoxicillin permeate well and two affinity pockets have been elucidated. In a second step, transition state theory accounts for the energy gain that is observed owing to the presence of an affinity pocket in the channel and relates this to the kinetics of permeation. The transition state theory provides Equation 4.

$$k = (l/\tau) \exp(-\Delta G/k_B T) \quad (4)$$

In Equation 4, k is the corresponding rate constant and τ is the inverse pre-exponential. In the exponent, ΔG is the energy difference calculated from the molecular modelling and $k_B T$ is the Boltzmann factor. Originally, this theory was derived for reactions in the gas phase, and the pre-exponential corresponds to the number of molecular trials needed to overcome the barrier (number of 'hits'). In condensed phase, this value is replaced by a more complex relationship. Another possible approach to model the pathway through the channel would be to apply a force to the antibiotic molecule and to drag it through the channel. For both methods, the pathway, possible electrostatic interactions and interaction with the channel can be obtained. However, the measured permeation values are obtained from modelling indirectly through an extrapolation into the experimentally available millisecond range, and thus any conclusions must be treated with caution.

To conclude, the chemical properties of antibiotic molecules influence their transport through their interactions with the porin channels. For example, zwitterionic compounds penetrate proteoliposomes and live cells rapidly and exhibit increased ion flux perturbations through OmpF in lipid bilayer models compared with other charged compounds³². Large molecules, with bulky side chains, such as azlocillin and piperacillin have low permeation rates⁶⁸. In addition, the translocation of representatives of more recent β -lactams (carbapenems and cephalosporins) through Omp36 (an OmpC analogue) has been quantified (C. James, unpublished observations). Key exposed residues have been identified that transiently

interact with translocating molecules to strongly influence the rate of permeation^{68,75}. Substitution mutations at such sites in OmpF and OmpC can alter the susceptibility to certain β -lactams and can represent a bacterial drug-resistance strategy to restrict antibiotic influx.

Concluding remarks

This Review has summarized the clinical evidence for a progressive alteration of membrane permeability by Gram-negative bacteria. This leads to an MDR phenotype partly as a result of modified porin expression and reflects a rapid adaptive response to antibiotic stress. The control of bacterial membrane permeability is a complex process that is tightly regulated by an intricate network of systems that sense and respond to osmotic shock, pH, temperature, antibiotics and chemical stress^{33,80–82}.

Bacteria make use of sophisticated regulation cascades that detect different toxic compounds and respond by eliciting various resistance mechanisms. Documenting the emergence or acquisition of antibiotic resistance mechanisms during antibiotherapy highlights the efficiency of the bacterial adaptive response. In this respect, decreased membrane permeability reduces the internal accumulation of antibiotics, therefore allowing time for the development of further resistance mechanisms, such as target modification or drug inactivation. This information is of importance for understanding the bacterial resistance that is triggered by the modification of membrane permeability and for the development of new antibiotherapy strategies.

Translocation across the porin channel is the first step in the journey of a β -lactam to its target site. Consequently, deciphering antibiotic translocation through porins at the molecular level is crucial for understanding the correlation between influx and antibiotic activities in bacteria. State of the art techniques have been developed to this end, using high-resolution ion current analysis together with structure and dynamic modelling. Together with mutational studies, these methods have identified specific amino-acid residues that are located inside the channel that play a key part in β -lactam diffusion efficiency to influence overall antibiotic activity. These data are crucial for elucidating the antibiotic pathway through the porin channel and provide molecular insights which could enable rational drug design to further optimize permeation and support new strategies to circumvent the 'impermeability' resistance mechanism.

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DATABASES

Entrez Genome Project:

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[Acinetobacter baumannii](http://www.ncbi.nlm.nih.gov/sites/entrez?db=genomeprj&term=Acinetobacter%20baumanni) | [Enterobacter cloacae](http://www.ncbi.nlm.nih.gov/sites/entrez?db=genomeprj&term=Enterobacter%20cloacae) | [Escherichia coli](http://www.ncbi.nlm.nih.gov/sites/entrez?db=genomeprj&term=Escherichia%20coli) | [Klebsiella pneumoniae](http://www.ncbi.nlm.nih.gov/sites/entrez?db=genomeprj&term=Klebsiella%20pneumoniae) | [Neisseria gonorrhoeae](http://www.ncbi.nlm.nih.gov/sites/entrez?db=genomeprj&term=Neisseria%20gonorrhoeae) | [Pseudomonas aeruginosa](http://www.ncbi.nlm.nih.gov/sites/entrez?db=genomeprj&term=Pseudomonas%20aeruginosa) | [S. typhi](http://www.ncbi.nlm.nih.gov/sites/entrez?db=genomeprj&term=Salmonella%20typhi)

UniProtKB: <http://ca.expasy.org/sprot>
 OmpC | OmpF | OmpK35 | OmpK36 | OmpK37 | PhoE

FURTHER INFORMATION

Jean-Marie Pagès' homepage: <http://www.univmed.fr/recherche/unites.aspx?id=172&prt=5>

Mathias Winterhalter's homepage: <http://www.faculty.iu-bremen.de/mwinterhalter/>

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